

TUSCALOOSA COUNTY COMMISSION

MEETING

March 8, 2012

TUSCALOOSA COUNTY §

STATE OF ALABAMA §

This being the date and hour to which the Tuscaloosa County Commission adjourned, the County Commission met pursuant to such adjournment with Probate Judge W. Hardy McCollum presiding as Chairman. The following members were present:

Don Wallace
Gary Youngblood
Bobby Miller
Reginald Murray

Commissioner Gary Youngblood moved, seconded by Commissioner Bobby Miller, the County Commission voted unanimously to authorize payment \$81,471.50 to B. G. Watkins Construction, Inc. for construction of the Cedar Cove building, as well as the following material invoices totaling \$21,585.81:

Closet Plus - \$2,245.36
JLS Sales, Inc. - \$79.42
Friday Lumber - \$11.02
Glidden \$561.67
JLS Sales, Inc. - \$1,500.00
Sequel Electrical Supply - \$17,188.34

Exhibit 3-1, Page

Commissioner Bobby Miller moved, seconded by Commissioner Don Wallace, the County Commission voted unanimously to authorize payment of \$3,089.00 to The Cassady Company, Inc., for engineering services associated with the water line relocation on the Springer Road Construction Project.

Exhibit 3-2, Page

Commissioner Don Wallace moved, seconded by Commissioner Gary Youngblood, the County Commission voted unanimously to authorize the following payments to Burk-Kleinpeter, Inc., for engineering services:

Upper Tyro Creek Bridge Replacement Project \$5,000.00
Rockcastle Creek Bridge Replacement Project \$5,000.00

Exhibit 3-3, Page

Commissioner Gary Youngblood moved, seconded by Commissioner Reginald Murray, the County Commission voted unanimously to award the bid for repairs to the Public Works building to Hall-Taylor Construction Co., Inc. The original single bid in the amount of \$19,867.23 was reduced to \$17,810.98 after negotiation between the Engineering Department and Hall-Taylor Construction Co., Inc., a reduction of 10.3 percent.

Exhibit 3-4, Page

Commissioner Bobby Miller moved, seconded by Commissioner Gary Youngblood, the County Commission voted unanimously to award the bid for two 2009 wrecked Peterbilt 367 Triaxle dump trucks to Bobby Park Truck and Equipment, Inc. Bids were opened on February 29, 2012, and are as follows:

VIN - 1NPTL40X89N782002

Bobby Park Truck and Equipment, Inc. - \$10,650.00
Todd Shirley Grading, Inc. - \$4,600.00

VIN - 1NPTL40X19N781998

Bobby Park Truck and Equipment, Inc. - \$12,561.00
Todd Shirley Grading, Inc. - \$5,100.00

Exhibit 3-5, Page

Commissioner Bobby Miller moved, seconded by Commissioner Don Wallace, the County Commission voted unanimously to authorize a renewal of the herbicide contract awarded to Edko, LLC, on March 17, 2010. Edko, LLC, has agreed to perform the work for the same contract price used for the last two years of \$156,991.76 for two sprayings in 2012.

Commissioner Gary Youngblood moved, seconded by Commissioner Bobby Miller, the County Commission voted unanimously to award the qualified low bid for demolition of five structures to Price Construction Company in the amount of \$90,000.00. Bids were opened on March 6, 2012, and are as follows:

R&J Excavating and Septic Service, LLC - \$87,000.00
Price Construction Company, Inc. - \$90,000.00
S. T. Bunn Construction Co., Inc. - \$110,950.39

Exhibit 3-6, Page

Commissioner Gary Youngblood moved, seconded by Commissioner Don Wallace, the County Commission voted unanimously to adopt a resolution for continuation of the LEGIONS Grant (Law Enforcement Giving Immediate Options and Needed Services). Tuscaloosa County will be accountable for providing \$20,000.00 (25%) as cash match of the total cost of said project.

Exhibit 3-7, Page

Commissioner Gary Youngblood moved, seconded by Commissioner Don Wallace, the County Commission voted unanimously to authorize payment to Hall-Taylor Construction Company in the amount of \$21,797.59, the total cost of construction for the improved handicap access ramp to the Courthouse Annex. This includes a change order in the amount of \$1,916.59.

Exhibit 3-8, Page

Commissioner Gary Youngblood moved, seconded by Commissioner Bobby Miller, the County Commission voted unanimously to authorize payment to Burk-Kleinpeter, Inc., in the amount of \$2,000.00 for design services for the handicap access ramp to the Courthouse Annex.

Exhibit 3-9, Page

Commissioner Bobby Miller moved, seconded by Commissioner Gary Youngblood, the County Commission voted unanimously to accept the recommendation of the Tuscaloosa County Solid Waste Authority Board to renew the residential Solid Waste Contract with Waste Management, Inc. for a three-year period (2012-2015). The current contract terminates on June 30, 2012.

Solid Waste Director Jim Patrie announced the Annual Spring Cleanup will be held Saturday, April 21st from 8:00 a.m. until 5:00 p.m., with two containers in each commission district.

Commissioner Gary Youngblood moved, seconded by Commissioner Bobby Miller, the County Commission voted three-to-one to adopt a resolution authorizing the Chairman to execute documents related to a bond issue for the Government Utility Services Corporation (GUSC) of the Town of Vance. A public hearing will be held prior to the execution of said documents. The purpose of the bond issue is for Citizens Water Authority to expand service in their area. Commissioner Don Wallace abstained from casting a vote.

Exhibit 3-10, Page

Commissioner Bobby Miller moved, seconded by Commissioner Don Wallace, the County Commission voted unanimously to approve the District Development Projects as requested by Tuscaloosa County Park and Recreation Authority for fiscal year 2011-2012.

Exhibit 3-11, Page

Commissioner Don Wallace moved, seconded by Commissioner Gary Youngblood, the County Commission voted unanimously, at the request of Tuscaloosa County Park & Recreation Authority, to authorize the Engineering Department to provide assistance in paving the entrance of Sokol North Park.

Exhibit 3-12, Page

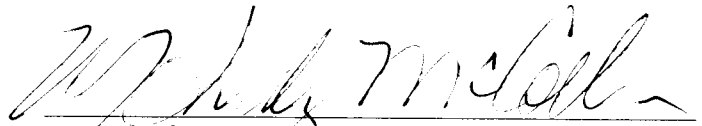
Commissioner Gary Youngblood moved, seconded by Commissioner Bobby Miller, the County Commission voted unanimously to depart from the order of business set forth in the official agenda to discuss B&L Road.

Commissioner Gary Youngblood moved, seconded by Commissioner Bobby Miller, the County Commission voted unanimously to authorize the Engineering Department to post "NO TRUCKS" on B&L Road.

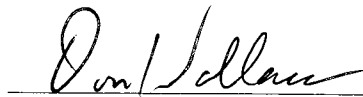
Commissioner Don Wallace moved, seconded by Commissioner Bobby Miller, the County Commission voted unanimously to authorize the following re-appointments to the Tuscaloosa Public Library Board:

Laura Aaron - Term will expire November 1, 2015
J. Brad Springer - Term will expire November 1, 2015
Dr. Samory Pruitt - Term will expire November 1, 2014

There being no further business to come before the Tuscaloosa County Commission, the meeting adjourned to Wednesday, March 21, 2012.



W. Hardy McCollum
Judge of Probate and Chairman
Tuscaloosa County Commission



Don Wallace
Commissioner - District I



Gary Youngblood
Commissioner - District II



Bobby Miller
Commissioner - District III



Reginald Murray
Commissioner - District IV

ORIGINAL

APPLICATION and CERTIFICATE for PAYMENT

Pay Application No. CC PR 5

Date: 29-Feb-12

TO Owner: Tuscaloosa County Commission PO Box 20113 Tuscaloosa Alabama 35402	PROJECT: Camp Cedar Cove 10820 Ed Stephens Road Conttondale Alabama 35405
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FROM Contractor: B. G. Watkins Construction, Inc. P.O. Box 353 Northport Alabama 35476	Architect: Marcum Architects
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STATEMENT OF CONTRACT ACCOUNT:			
1	Original Contract Sum	(percentage complete) <u>79%</u>	\$ <u>445,695.00</u>
2	Net Change by Change Order		\$ <u>-</u>
3	Contract Sum to Date	(Line 1 + 2)	\$ <u>445,695.00</u>
4	Total Completed and Stored to Date	(Column G on Application for Payment)	\$ <u>350,880.24</u>
5	Less Retainage 5% of 50% Not to Exceed	\$ <u>11,142.38</u>	\$ <u>8,772.01</u>
6	Total Earned Less Retainage		\$ <u>342,108.23</u>
7	Less Owner Paid Materials		\$ <u>105,591.87</u>
8	Tax Savings		\$ <u>N/A</u>
9	Total amount due at this time		\$ <u>236,516.36</u>
10	Less Previous Payments		\$ <u>155,044.86</u>
11	AMOUNT DUE THIS REQUEST		\$ <u>81,471.50</u>
12	Balance to Finish, Including Retainage	\$ <u>94,814.76</u>	

CONTRACTOR'S CERTIFICATION
 The Undersigned Contractor certifies that to the best of his knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents that all amounts have/will be paid for work for which previous Certification for Payment were issued and were/will be issued and payment received from the Owner and that current payment shown herein has not yet been received.

By: *Graves Watkins* Date 29-Feb-12
 Graves Watkins President/Owner

Sworn and subscribed before me this this date: 29-Feb-12

Delois J Miller
 Delois J Miller Notary Public for the State of Alabama @ large my commission expire 6 Nov 2013

Owners Approval

By: _____ Date _____

By: _____ Date _____

Project Name:

Camp Cedar Cove

Contractor:

B. G. Watkins Construction, Inc.

Pay Rec CC PR 5

Date: 29-Feb-12

A ITEM NO. 1	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		E THIS PERIOD	F MATERIALS PRESENTLY (NOT IN d OR e)	G TOTAL COMPLETED TO DATE (d + e + f)	H % (g/c)	I BALANCE TO FINISH (c-g)
			PREVIOUS APPLICATIONS						
1	Concrete (Rushing Concrete)	\$ 44,153.00	\$ 24,646.25			\$ -	\$ 24,646.25	56%	\$ 19,506.75
2	Masonry (Jones Masonry)	\$ 5,465.00	\$ 5,465.00			\$ -	\$ 5,465.00	100%	\$ -
3	Structural Steel (Dogan Metal)	\$ 28,568.42	\$ 28,568.42			\$ -	\$ 28,568.42	100%	\$ -
4	Metal Building (Harpole Steel)	\$ 64,981.00	\$ 64,981.00			\$ -	\$ 64,981.00	100%	\$ -
5	Bomanite Flooring (Jeffco)	\$ 5,785.50	\$ 5,785.50			\$ -	\$ 5,785.50	100%	\$ -
6	Painting (King & Spencer)	\$ 6,250.00		\$ 6,250.00		\$ -	\$ 6,250.00	100%	\$ -
7	HVAC (McKvely Mechanical)	\$ 26,498.00	\$ 23,957.60	\$ 2,540.40		\$ -	\$ 26,498.00	100%	\$ -
8	Electrical (Mills Electric)	\$ 42,500.00		\$ 40,447.00		\$ -	\$ 40,447.00	95%	\$ 2,053.00
9	Plumbing (Turner Plumbing)	\$ 30,300.00	\$ 4,545.00			\$ -	\$ 4,545.00	15%	\$ 25,755.00
10	Canopies (Perfections)	\$ 3,764.00	\$ 3,764.00			\$ -	\$ 3,764.00	100%	\$ -
11	Architect (Marcum)	\$ 19,750.00	\$ 19,750.00			\$ -	\$ 19,750.00	100%	\$ -
12	Insurance	\$ 1,000.00	\$ 1,000.00			\$ -	\$ 1,000.00	100%	\$ -
13	Drywall/Acoustical (T Acoustical)	\$ 19,979.00	\$ 10,000.00	\$ 8,479.00		\$ -	\$ 18,479.00	92%	\$ 1,500.00
14	Lockers, toilet accessories, doors & hardware	\$ 13,199.00	\$ 6,920.00	\$ 2,245.36		\$ -	\$ 9,165.36	69%	\$ 4,033.64
15	Millwork	\$ 5,750.00		\$ 5,750.00		\$ -	\$ 5,750.00	100%	\$ -
16	Watkins General Requirements	\$ 127,752.08	\$ 45,797.65	\$ 39,988.06		\$ -	\$ 85,785.71	67%	\$ 41,966.37
	TOTALS:	\$ 445,695.00	\$ 245,180.42	\$ 105,699.82		\$ -	\$ 350,880.24	79%	\$ 94,814.76

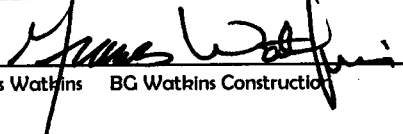
MATERIAL INVOICE SUMMARY No. 4

Pay Request <u>CC PR 5</u>
Date: <u>02/29/12</u>
B.C. No

To: Tuscaloosa County Commission PO Box 20113 Tuscaloosa Alabama 35401	From: B. G. Watkins Construction, Inc. P.O. Box 353 Northport Alabama 35476
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Pursuant to the Agency Agreement between the Owner, and the undersigned providing for sales and use tax exempt purchase of materials to be used in the construction of Camp Cedar Cove Office/Shop. Please pay the following amounts shown in column (5) which is the total of the invoices attached to each enclosed Material Invoice Transmittal for the respective vendor

	(1) VENDOR	(2) INVOICE TRANS. NO.	(3) GROSS AMOUNT WITHOUT TAX	(4) CASH DISCOUNT	(5) NET INVOICE AMOUNT	(6) SALES & USE TAX SAVINGS
1	Closet Plus	25	\$2,245.36	\$0.00	\$2,245.36	N/A
2	JLS Sales (watkins)	26	\$79.42	\$0.00	\$79.42	N/A
3	Friday Lumber	27	\$11.02	\$0.00	\$11.02	N/A
4	Clidden	28	\$561.67	\$0.00	\$561.67	N/A
5	JLS Sales (TuscaAcoustical)	29	\$1,500.00	\$0.00	\$1,500.00	N/A
6	Sequel Electric	30	\$17,188.34	\$0.00	\$17,188.34	N/A
7				\$0.00	\$0.00	N/A
8				\$0.00	\$0.00	N/A
9				\$0.00	\$0.00	N/A
10				\$0.00	\$0.00	N/A
11				\$0.00	\$0.00	N/A
12				\$0.00	\$0.00	N/A
TOTALS THIS SUMMARY			\$21,585.81	\$0.00	\$21,585.81	N/A
TOTALS OF PREVIOUS SUMMARIES			\$84,006.06	\$0.00	\$84,006.06	N/A
TOTALS TO DATE			\$105,591.87	\$0.00	\$105,591.87	N/A
Transfer Total To Date to APPLICATION FOR PAYMENT, Last Page				Line 6.a	Line 6.b	Line 6.c


 Graves Watkins BG Watkins Construction 2/29/2012
 Date

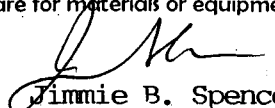
Not Applicable
 Architects Approval Date Awarding Authority Date

MATERIAL INVOICE TRANSMITTAL No. 1

Pay App#	<u>1</u>
Date:	<u>2/27/2012</u>
B.C. No.	_____
Watkins PR	_____

To: Tuscaloosa County Commission PO Box 20113 Tuscaloosa Alabama 35401			From: King & Spencer Paint Contractors 3723 11th Avenue Tuscaloosa Alabama 35401		
			Camp Cedar Cove Shop/Office 10820 Ed Stephens Road Conttondale Alabama 35405		
(1) P.O. Number	(2) Invoice Number	(3) Invoice Date	(4) Invoice Amount without Tax	(5) Discount Amount	(6) Net Amount Due
	040704001449	2/14/12	561.67	0.00	561.67
Total This Transmittal			561.67	0.00	561.67

CERTIFICATION: I hereby approve for payment the invoices listed above, which are attached hereto, and certify that these invoices are for materials or equipment to be incorporated into this project and are true, correct, and unpaid

Authorized signature: 
Jimmie B. Spencer
 King & Spencer Paint Contractors

date: 2/27/2012

Approved by _____
 Graves Watkins Construction Manager

date: _____

MATERIAL INVOICE TRANSMITTAL No. 2

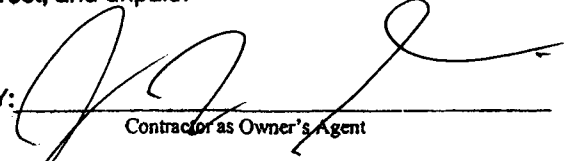
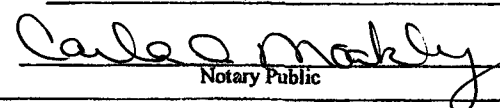
Date <u>February 02, 2012</u>
B.C. No. _____

TO: (Owner) TUSCALOOSA COUNTY COMMISSION PO BOX 20113 TUSCALOOSA, AL 35401	FROM: (Contractor as Owner's Agent) TUSCALOOSA ACOUSTICAL SYSTEMS INC. PO BOX 70067 TUSCALOOSA, AL 35407
--	--

Render Payment To: (Vendor) JLS SALES, INC P.O. BOX 70067 TUSCALOOSA, AL 35407	Regarding: (Project) CAMP CEDAR COVE OFFICE/SHOP
--	--

P.O. NUMBER	INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT WITHOUT TAX	DISCOUNT AMOUNT	NET AMOUNT DUE
	CAMP0212	2/2/12	\$1,500.00	\$0.00	\$1,500.00
TOTALS THIS TRANSMITTAL			\$1,500.00	\$0.00	\$1,500.00

CERTIFICATION: I hereby approve for payment the invoices listed above, which are attached hereto, and certify that these invoices are for materials or equipment to be incorporated into this project and are true, correct, and unpaid.

<p>BY:  _____ Contractor as Owner's Agent</p> <p>PRESIDENT (Title)</p>	<p>Sworn and subscribed before me this <u>2</u> day of <u>FEBRUARY 2012</u></p> <p> _____ Notary Public L.S.</p>
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MATERIAL INVOICE TRANSMITTAL No. 1

Pay App #	CC PR1
Date:	02/15/12
B.C. No.	
Watkins PR	

To: Tuscaloosa County Commission PO Box 20113 Tuscaloosa Alabama 35401			From: Mills Electric Inc. PO Drawer 3068 Tuscaloosa Alabama 35403		
Sequel Electrical Supply 710 14th Street Tuscaloosa, Alabama 35401			Camp Cedar Cove 10820 Ed Stephens Road Conttondale Alabama 35405		
(1) P.O. Number	(2) Invoice Number	(3) Invoice Date	(4) Invoice Amount without Tax	(5) Discount Amount	(6) Net Amount Due
	S1300187.001	12/5/2011	\$ 5,995.00	\$ -	\$ 5,995.00
	S1305881.001	12/20/2011	\$ 523.62	\$ -	\$ 523.62
	S1306349.003	1/3/2012	\$ 1,187.80	\$ -	\$ 1,187.80
	S1311564.001	1/11/2012	\$ 67.27	\$ -	\$ 67.27
	S1311819.001	1/12/2012	\$ 130.89	\$ -	\$ 130.89
	S1312009.001	1/12/2012	\$ 148.18	\$ -	\$ 148.18
	S1312012.001	1/12/2012	\$ 9.36	\$ -	\$ 9.36
	S1312014.001	1/12/2012	\$ 203.04	\$ -	\$ 203.04
	S1312015.001	1/12/2012	\$ 31.92	\$ -	\$ 31.92
	S1312016.001	1/12/2012	\$ 7.86	\$ -	\$ 7.86
Total This Transmittal			\$ 8,304.94	\$ -	\$ 8,304.94

CERTIFICATION: I hereby approve for payment the invoices listed above, which are attached hereto, and certify that these invoices are for materials or equipment to be incorporated into this project and are true, correct, and unpaid

Authorized signature: 
Mills Electric Inc.

date: February 15, 2012

Approved by Graves Watkins Construction Manager

date: _____

Page 3 - 8304.94
 page 2 - 3057.26
 page 1 - 5826.14

 17188.34

Page 3 of 3

MATERIAL INVOICE TRANSMITTAL No. 1

Pay App #	CC PR1
Date:	02/15/12
B.C. No.	
Watkins PR	

To: Tuscaloosa County Commission PO Box 20113 Tuscaloosa Alabama 35401			From: Mills Electric Inc. PO Drawer 3068 Tuscaloosa Alabama 35403		
Sequel Electrical Supply 710 14th Street Tuscaloosa, Alabama 35401			Camp Cedar Cove 10820 Ed Stephens Road Conttondale Alabama 35405		
(1) P.O. Number	(2) Invoice Number	(3) Invoice Date	(4) Invoice Amount without Tax	(5) Discount Amount	(6) Net Amount Due
	S1317746.001	1/20/2012	\$ 10.00	\$ -	\$ 10.00
	S1317746.002	1/24/2012	\$ 10.00	\$ -	\$ 10.00
	S1314758.001	2/6/2012	\$ 2,300.00	\$ -	\$ 2,300.00
	S1315953.001	1/24/2012	\$ 180.25	\$ -	\$ 180.25
	S1317034.001	1/27/2012	\$ 8.62	\$ -	\$ 8.62
	S1317512.001	1/30/2012	\$ 56.43	\$ -	\$ 56.43
	S1318567.001	2/1/2012	\$ 59.25	\$ -	\$ 59.25
	S1319044.001	2/1/2012	\$ 336.94	\$ -	\$ 336.94
	S1320106.001	2/6/2012	\$ 52.88	\$ -	\$ 52.88
	S1320490.001	2/7/2012	\$ 42.89	\$ -	\$ 42.89
Total This Transmittal			\$ 3,057.26	\$ -	\$ 3,014.37

~~42.89~~ ✖
3057.26

MATERIAL INVOICE TRANSMITTAL No. 1

Pay App #	CC PR1
Date:	02/15/12
B.C. No.	
Watkins PR	

To: Tuscaloosa County Commission PO Box 20113 Tuscaloosa Alabama 35401			From: Mills Electric Inc. PO Drawer 3068 Tuscaloosa Alabama 35403		
Sequel Electrical Supply 710 14th Street Tuscaloosa, Alabama 35401			Camp Cedar Cove 10820 Ed Stephens Road Conttondale Alabama 35405		
(1) P.O. Number	(2) Invoice Number	(3) Invoice Date	(4) Invoice Amount without Tax	(5) Discount Amount	(6) Net Amount Due
	51320996.001	2/8/2012	\$ 15.34	\$ -	\$ 15.34
	51321468.001	2/8/2012	\$ 323.48	\$ -	\$ 323.48
	51321980.001	2/10/2012	\$ 155.40	\$ -	\$ 155.40
	51322293.001	2/10/2012	\$ 251.83	\$ -	\$ 251.83
	51322326.001	2/10/2012	\$ 15.59	\$ -	\$ 15.59
	51322579.001	2/13/2012	\$ 31.36	\$ -	\$ 31.36
	51322835.001	2/13/2012	\$ 13.31	\$ -	\$ 13.31
	51322982.001	2/14/2012	\$ 5,019.83	\$ -	\$ 5,019.83
Total This Transmittal			\$ 5,826.14	\$ -	\$ 5,826.14



Providing Solutions for Water, Wastewater, and Storm Water Problems

600 29th Avenue • Tuscaloosa, AL 35401

February 27, 2012 Phone (205) 349-0067 • Fax (205) 349-0885
 Invoice No. 2
 11-118

Mr. Mike Henderson
 Tuscaloosa County
 Public Works Department
 2810 35th Street
 Tuscaloosa, Alabama 35401

Re: Springer Road Water Main Relocation

INVOICE FOR SERVICES

For engineering services rendered to design project, provide drawings for bidding, meetings with the contractor, review of submittals, and construction observation. These services were provided through February 25, 2012.

	<u>Contract Amount</u>	<u>Percent Complete</u>	<u>Total</u>
Engineering Design Services	\$10,100.00	100.0%	\$10,100.00
Construction Review	<u>\$6,300.00</u>	33.0%	<u>\$2,079.00</u>
Subtotal	\$16,400.00.....		\$12,179.00
Less Amount Previously Invoiced		 <u>\$9,090.00</u>
Total Amount Due Upon Receipt		 <u>\$3,089.00</u>

cc: Mr. Farrell Noland
 Chairman, Buhl-Elrod-Holman Water Authority

Your Business is Truly Appreciated

BURK-KLEINPETER, INC.

CHAIRMAN OF THE BOARD
WM. R. "BIFF" BURK, III, PE

ENGINEERS, ARCHITECTS, PLANNERS, ENVIRONMENTAL SCIENTISTS

PRESIDENT
GEORGE C. KLEINPETER, JR., PE

ASSOCIATES
DAVID S. HARGROVE, PE
CRAIG P. WILLIAMS, PE

600 LURLEEN WALLACE BOULEVARD, SUITE 180
TUSCALOOSA, AL 35401
TELEPHONE (205) 759-3221 FAX (205) 759-9166
WWW.BKIUSA.COM

REGIONAL VICE PRESIDENT- AL
O. JEFFREY WOOD, PE

VICE PRESIDENT
BRUCE L. HIGGINBOTHAM, PE

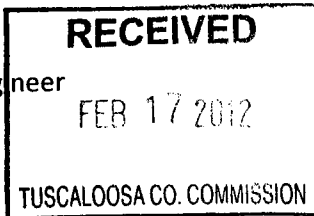


WILLIAM R. BURK, JR., 1912-1986

OVER 100 YEARS OF SERVICE

January 31, 2012

Bobby Hagler
Tuscaloosa County Engineer
2810 35th Street
Tuscaloosa, AL 35401



SRCE _____ Run # _____
Vendor # 152018 PO # _____
Acct. # _____
Rec'd By KTH BK# _____
Approved By _____

Tuscaloosa County Misc. Bridge Replacements
Invoice #8
BKI Job No. TU.11.006

For professional services rendered on the referenced project through the month of January 2012.

PAYMENT REQUEST NO.8

	TOTAL AMOUNT	% COMPLETE	AMOUNT COMPLETE	CURRENT AMOUNT DUE
<u>Jay Creek Bridge</u>				
DESIGN SERVICES	\$32,500.00	0%	\$ -	\$ -
SURVEY SERVICES	\$8,050.00			
<u>Upper Tyro Creek</u>				
DESIGN SERVICES	\$51,000.00	34%	\$ 22,195.00	\$ 5,000.00
SURVEY SERVICES	\$8,050.00	93%	\$ 7,500.00	\$ -
<u>Rock Castle Creek</u>				
DESIGN SERVICES	\$25,500.00	80%	\$ 20,405.00	\$ -
SURVEY SERVICES	\$8,050.00	100%	\$ 8,050.00	\$ -
	<u>\$133,150.00</u>		<u>\$ 58,150.00</u>	<u>\$ 5,000.00</u>

PREVIOUSLY INVOICED: \$ (53,150.00)
AMOUNT DUE: \$ 5,000.00

RECEIVED

FEB 15 2012

TUSCALOOSA COUNTY
PUBLIC WORKS DEPARTMENT

BKI INVOICE: 50847





Bobby C. Hagler
County Engineer

TUSCALOOSA COUNTY PUBLIC WORKS DEPARTMENT
2810 35th Street
Tuscaloosa, Alabama 35401
(205) 345-6600
FAX (205) 345-6600



Allan D. Springer, Sr.
Assistant County Engineer

February 28, 2012

Melvin Vines, County Administrator
Tuscaloosa County Commission
Tuscaloosa, Alabama 35401

Dear Mr. Vines:

The only contractor to submit a bid for the repairs to the engineering office and county shop was Hall-Taylor Construction Co. Inc. in the amount of \$19,867.23. Since this was the only bid received, the County Commission authorized the Engineering Department to attempt to negotiate a lower total project cost with the contractor.

Roger Taylor of Hall-Ray Construction Co. Inc. met with Mike Henderson and Bob Cunningham to negotiate prices submitted for the repairs. The result of their discussion was a negotiated reduction of the total bid price of \$2,088.60. The original bid price submitted by the contractor was \$19,867.23, the negotiated bid price is \$17,810.98; a reduction of 10.3 percent.

I recommend that Hall-Taylor Construction Co. Inc. be awarded the bid for the repairs at the negotiated bid price of \$17,810.98.

Yours truly,

A handwritten signature in cursive script that reads "Bobby C. Hagler".

Bobby C. Hagler
Tuscaloosa County Engineer

File: X/Users/Mike/FEMA/Tornado Declaration 2011/Public Works Building Repairs/Bid Award Recommendation

Public Works Building Repairs Continued
PROJECT BID SHEET

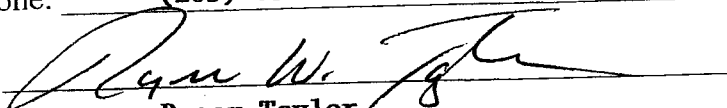
ITEM NO.	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	BID AMOUNT
19	Replace damaged soffit; Engineering Building, East side, PVC, solid, white, 50 ft X 15 -in	62.5	SF	\$ <u>6.75</u>	\$ <u>421.88</u>
20	Demolition of gutters,downspouts,insulation and siding	1	LS	\$ <u>1,500.00</u>	\$ <u>1,500.00</u>
21	Replace damaged siding, industrial type corrugated steel frame, painted 0.032-in. thick; Wash Rack; northwest corner	<u>12</u> ✓	<u>SF</u> <u>LF</u>	\$ <u>7.16</u>	\$ <u>85.92</u>
22	Replace damaged steel downspout, galvanized , rectangular, corrugated, epoxy painted, 3- in X 4-in, 24 gauge, Wash Rack, S.W. corner	10	LF	\$ <u>8.08</u>	\$ <u>80.80</u>
Total Bid					<u>17,810.98</u>

The Bidder understands that the county reserves the right to reject any and all bids and to waive any informality involved in the bidding process. The quantities listed above are approximate only? There will be no contract adjustments in the unit prices for any increase or decrease in the unit quantities.

Company Name: Hall-Taylor Construction Co., Inc

Mailing Address: 5126 McFarland Blvd E., Tuscaloosa, AL 35405

Telephone: (205) 758-8265

Name: 
Roger Taylor

Title: Owner

Date: February 28, 2012

REVISED FEB 28, 2012

Public Works Building Repairs
PROJECT BID SHEET

ITEM NO.	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	BID AMOUNT
1	Replace Aluminum Gutter; Engineering Building, East and West Sides. Enameled, 5-in. box, 0.32 inch thick	180	LF	\$ <u>8.50</u>	\$ <u>1,530.00</u>
2	Reattach Light, Engineering Building, West End	1	LS	\$ <u>237.50</u>	\$ <u>237.50</u>
3	Repair Roof Puncture, Engineering Building	1	LS	\$ <u>84.00</u>	\$ <u>84.00</u>
4	Replace Door Canopy and Supports, Engineering Building, N. End	1	LS	\$ <u>3,525.00</u>	\$ <u>3,525.00</u>
5	Install longer anchor bolts with grout for the Canopy (Hazard Mitigation Proposal)	1	LS	\$ <u>250.00</u>	\$ <u>250.00</u>
6	Replace Aluminum Downspouts ; Engineering Building, East and west sides. 4-in. X 5 in., 0.24 in. thick	160	LF	\$ <u>7.58</u>	\$ <u>1,212.80</u>
7	Replace Damaged Steel Siding, colored, 10-year finish, 26 gauge; County Shop, South End of 1 st Bay at Northwest corner of Building	28	SF	\$ <u>7.16</u>	\$ <u>200.48</u>
8	Replace Rake Flashing; County Shop, North Side of Building, East side and gable	35	LF	\$ <u>7.16</u>	\$ <u>250.60</u>
9	Replace missing downspouts and ; County Shop, East side Near North End	48	LF	\$ <u>8.50</u>	\$ <u>408.00</u>

Public Works Building Repairs
PROJECT BID SHEET

ITEM NO.	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	BID AMOUNT
10	Replace Section of Bowed Siding; County Shop, North side of Building	726 270	SF	\$ 7.00	\$ 5,082.00
11	Locate and Repair Roof Leaks; County Shop	3	EA	\$ 83.34	250.02
12	Replace Missing insulation; Wash Rack, Three sides of Enclosure. VSF faced, rated 0.6 lb density, R13, 4-in. thick.	282	SF	\$ 5.36	\$ 4,511.52
13	Repair Damaged Gutter; Wash Rack, North Side of Building. Eave type, painted, 26 gauge	60	LF	\$ 11.65	\$ 699.00
14	Replace Damaged Steel Downspouts; Wash Rack, North East and North West Ends of Building. Galvanized	10	LF	\$ 9.39	\$ 93.90
15	Replace Dented Gutter; Wash Rack, North Side of Building, eave type painted, 26 gauge.	20	LF	\$ 8.50	\$ 170.00
16	Replace Dented Corner Flashing; Wash Rack, North West Corner of Building. Industrial Type, corrugated, on steel frame, painted, 0.32-inch	12	SF	\$ 9.38	\$ 112.56
17	Replace Stainless Steel Bonnet: Wash Rack	1	EA	\$ N/B	\$ N/B
18	Replace Damaged flashing; Engineering building, East Wall 45 feet X 4-in, plastic, polyvinyl chloride, 0.060-in.	15	SF	\$ 7.00	\$ 105.00

Mike Henderson

To: Bobby Hagler; Allan Springer; James Fuller
Cc: Bobby Hayward
Subject: Agenda Item - wrecked truck bid

Award of the bid submitted by Bobby Park Truck and Equipment Inc. for the purchase of the two wrecked Triaxle dump trucks. The bids submitted by Bobby Park were for \$10,650 and \$12,561 on truck serial numbers 1NPTL40X89N782002 and 1NPTL40X19N781998 respectively. Only one other bid was received.

Sale of Peterbilt Trucks

Feb-12

	Serial Number 1NPTL40X89N782002	Serial Number 1NPTL40X19N781998
Bobby Park Truck and Equipment Inc.	\$ 10,650.00	\$ 12,561.00
Todd Shirley Grading Inc.	\$ 4,600.00	\$ 5,100.00

**Sale of Peterbilt Dump Trucks
Bid Sheet**

ITEM NO.	DESCRIPTION	QUANTITY	UNIT	BID AMOUNT
1	2009 Peterbilt 367 Triaxle Dump Truck SN: 1NPTL40X89N782002	1	EA	<u>10,650.⁰⁰</u>
2	2009 Peterbilt 367 Triaxle Dump Truck SN: 1NPTL40X19N781998	1	Each	<u>12,561.⁰⁰</u>

The Bidder understands that the county reserves the right to reject any and all bids and to waive any informalities involved in the bidding process. The trucks will be sold separately.

Company Name: BOBBY PARK TRUCK & EQUIP INC
Mailing Address: 5301 H. WAY 69 SOUTH
Telephone: 759 4889
Name: BOBBY PARK
Title: PRES.
Date: FEB 29, 2012

**Sale of Peterbilt Dump Trucks
Bid Sheet**

ITEM NO.	DESCRIPTION	QUANTITY	UNIT	BID AMOUNT
1	2009 Peterbilt 367 Triaxle Dump Truck SN: 1NPTL40X89N782002	1	EA	<u>\$4,600⁰⁰</u>
2	2009 Peterbilt 367 Triaxle Dump Truck SN: 1NPTL40X19N781998	1	Each	<u>\$5,100⁰⁰</u>

The Bidder understands that the county reserves the right to reject any and all bids and to waive any informalities involved in the bidding process. The trucks will be sold separately.

Company Name: Todd Shirley Grading Inc.
Mailing Address: P.O. Box 1289 Northport AL 35476
Telephone: 205/333-6111 - cell 205-799 2103
Name: Todd Shirley
Title: President
Date: 2-28-12

Demolition of 5 Storm Damaged Structures

	Demo Total	Fill Material Total	Contract Total
R&J Excavating and Septic Service	\$85,900.00	\$1,100.00	\$87,000.00
Price Construction Co, Inc.	\$89,000.00	\$1,000.00	\$90,000.00
ST Bunn Construction Co, Inc	\$109,950.39	\$1,000.00	\$110,950.39

Bid Schedule

I. DEMOLITION / DEBRIS REMOVAL: price will include all materials, equipment, operators, laborers and any other associated costs for the actual demolition as well as materials, equipment, operators, laborers, tipping fees and all other associated costs for debris removal from demolition sites. All debris classifications must be carried to the appropriate final disposal locations that are approved by the Alabama Department of Environmental Management.

II. FILL Material will be paid by the cubic yard and price will be all inclusive of hauling, placing, and grading. Fill material will be placed as directed by the county representative. Fill must come from an ADEM permitted borrow site.

This bid estimates 20 cubic yards per structure.

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)
00001	5035 Holt Peterson Rd	Modular Home	\$ 7,900.00

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)
00002	184 Crescent Ridge Rd	Yellow, single story house	\$ 15,000.00

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)
00003	641 71 st Street	Yellow, single story house, green tin roof	\$ 24,000.00

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)
00004	3917 Keene Dr	Two story brick house	\$ 27,000.00

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)
00005	707 Amy Circle	Single Story house w/ tan vinyl siding	\$ 12,000.00

Fill Material \$ 11.00 per c/y x 100 c/y = \$ 1,100.00

Total \$ 87,000.00

LIST THE PROPOSED NUMBER OF CREWS, PERSONS PER CREW, AND EQUIPMENT TO BE USED TO COMPLETE THE PROJECT:

NUMBER OF CREWS:

one

NUMBER OF PERSONS PER CREW:

5

EQUIPMENT TO BE USED:

Track Hoe, Skidsteer, 4 Dumptrucks.

LIST THE PROPOSED METHOD FOR THE WORK PROCESS, INCLUDING METHOD OF DEMOLITION AND ANY OTHER PERTINENT INFORMATION:

- ① Call and locate all utilities, including septic systems and any underground propane tanks.
- ② Obtain any demolition permits, if required.
- ③ Inspection of property for hazardous waste.
- ④ Mobilize equipment.
- ⑤ Put out necessary traffic cones and barrier tape around demolition site.
- ⑥ Inspect property to confirm premises is unoccupied.
- ⑦ Begin to wet structure, where safe.
- ⑧ Begin demolition, beginning at top and move downward.
- ⑨ Load debris into dumptrucks and haul to permitted landfill.

LIST AS REFERENCES THE NAMES AND LOCATIONS OF PLACES WHERE YOUR ORGANIZATION CO.PLETED DEMOLITIONS, TOGETHER WITH DATES OF OPERATION, AND TYPE OF DEMOLITION PERFORMED

CITY & STATE Tuscaloosa, AL

UNDER WHAT NAME DID YOU OPERATE?

Rt J Excavating & Septic Service, LLC

DATES: FROM NOV. 11, 2011 TO: NOV. 12, 2011

TYPE OF DEMOLITION

Demo of house located off Ty Rogers Road.
Demo was completed for Corey Houston of
Houston Homes.

CITY & STATE Tuscaloosa, AL

UNDER WHAT NAME DID YOU OPERATE?

Rt J Excavating & Septic Service, LLC

DATES: FROM June 18, 2011 TO: June 30, 2011

TYPE OF DEMOLITION

Demo of West Highland Baptist Church, Church
was severely damaged by the April 27 tornadoes.
Church was located in the city of Tuscaloosa.

CITY & STATE Tuscaloosa, AL

UNDER WHAT NAME DID YOU OPERATE?

Rt J Excavating & Septic Service, LLC

DATES: FROM onor about
Feb 15, 2011 TO: _____

TYPE OF DEMOLITION

Demo of delipitated house on 26th Avenue
East. Demo was completed for Martin Ray
of Hall Ray Realty.

Safety Plan for R & J Excavating & Septic Service, LLC

General:

No person shall be required or instructed to work in surrounding or under conditions that are unsafe or dangerous to his or her health.

Each employee is responsible for complying with applicable safety and occupational health requirements, wearing prescribed safety and health equipment, reporting unsafe conditions/activities, preventing avoidable accidents, and working in a safe manner.

Physical Qualifications of Employees:

All persons shall be physically, medically, and emotionally (ready, willing and able) qualified for performing the duties to which they are assigned. Some factors to be considered in making work assignments are strength, endurance, agility, coordination, and visual and hearing acuity.

While on duty, employees shall not use or be under the influence of alcohol, narcotics, intoxicant, or similar mind-altering substances.

Employees found to be under the influence of or consuming such substances will be immediately removed from the job site. Contractors shall enforce the drug-free workplace requirements.

Any employee under a physician's treatment and taking prescribed narcotics or any medication that may prevent one being ready, willing and, able to safely perform position duties shall provide a medical clearance statement to his supervisor.

Accident Reporting:

Employees are responsible for reporting all injuries or occupationally related illnesses as soon as possible to their employer or immediate supervisor.

First-Aid Kits:

First-aid kits shall be easily accessible to all workers and protected from the weather. The individual contents of the first-aid kits shall be kept sterile. The contents of first-aid kits shall be checked by the employer prior to their use on site.

Protective Wear:

Employees shall wear clothing suitable for the weather and work conditions. For fieldwork, at a minimum, this shall be:

- (1) Close fitting shirt;
- (2) Long pants (excessively long or baggy pants are prohibited);
- (3) Leather or other protective work shoes or boots.

Eye and Face Protection:

Persons shall be provided with eye and face protection equipment, when machines or operations present potential eye or face injury from physical, chemical, or radiation agents.

Employees shall use eye protection providing side protection.

Hearing Protection:

Persons shall be provided with hearing protection capable of reducing worker noise exposure.

Inclement Weather:

When there are warnings or indications of impending severe weather, weather stations shall be monitored.

Heat/Cold Stress Management:

Drinking water shall be made available to all employees, and employees are encouraged to frequently drink small amounts. The water shall be kept reasonably cool.

Fire Protection:

Fire extinguishers shall be placed in each work truck and on each piece of equipment on the job site.

Guarding and Safety Devices:

All construction and industrial equipment shall be equipped with a backup alarm.

Backup alarms shall be audible and sufficiently distinct to be heard above the surrounding noise level.

Alarms shall operate automatically upon commencement of backward motion. Alarms may be continuous or intermittent (not to exceed 3-second intervals) and shall operate during the entire backward movement.

Maintenance Vehicles:

All maintenance vehicles that are used shall be provided with two 28 inch day glow/high-visibility orange traffic cones. Vehicle operators shall place a cone in front and behind the vehicle when parked, remove and place in vehicle prior to departure.

Demolition:

All electric, gas, water, steam, sewer, and other service lines shall be shut off, capped, or otherwise controlled outside the building line before demolition is started. In each case, any utility company that is involved shall be notified in advance.

Demolition shall begin at the top of the structure and proceed downward.

R & J Excavating & Septic Service, LLC
11147 Sipsey Valley Road North
Buhl, AL 35446
205-561-4502

Bid Schedule

I. DEMOLITION / DEBRIS REMOVAL: price will include all materials, equipment, operators, laborers and any other associated costs for the actual demolition as well as materials, equipment, operators, laborers, tipping fees and all other associated costs for debris removal from demolition sites. All debris classifications must be carried to the appropriate final disposal locations that are approved by the Alabama Department of Environmental Management.

II. FILL Material will be paid by the cubic yard and price will be all inclusive of hauling, placing, and grading. Fill material will be placed as directed by the county representative. Fill must come from an ADEM permitted borrow site.

This bid estimates 20 cubic yards per structure.

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)	
00001	5035 Holt Peterson Rd	Modular Home		\$ 9,500. ⁰⁰

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)	
00002	184 Crescent Ridge Rd	Yellow, single story house		\$ 15,500. ⁰⁰

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)	
00003	641 71 st Street	Yellow, single story house, green tin roof		\$ 24,500. ⁰⁰

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)	
00004	3917 Keene Dr	Two story brick house		\$ 24,000. ⁰⁰

Structure ID #	Address	Description of Demo Structure	Demo / Debris Removal Price (lump sum per structure)	
00005	707 Amy Circle	Single Story house w/ tan vinyl siding		\$ 15,500. ⁰⁰

Fill Material \$ 10.⁰⁰ per c/y x 100 c/y = \$ 1,000.⁰⁰

Total \$ 90,000.⁰⁰

LIST AS REFERENCES THE NAMES AND LOCATIONS OF PLACES WHERE YOUR ORGANIZATION COMPLETED DEMOLITIONS, TOGETHER WITH DATES OF OPERATION, AND TYPE OF DEMOLITION PERFORMED

CITY & STATE TUSCALOOSA, AL

UNDER WHAT NAME DID YOU OPERATE?

PRICE CONSTRUCTION COMPANY

DATES: FROM JULY 2011 TO: AUGUST 2011

TYPE OF DEMOLITION

DEMOLITION AND REMOVAL OF 20 STRUCTURES

FOR EDWARDS COMMUNITYS CONSTRUCTION COMPANY

PROJECT NAME: PROVINCE @ TUSCALOOSA

CITY & STATE TUSCALOOSA, AL

UNDER WHAT NAME DID YOU OPERATE?

PRICE CONSTRUCTION COMPANY

DATES: FROM JUNE 2009 TO: AUGUST 2009

TYPE OF DEMOLITION

DEMOLITION AND REMOVAL OF 37 STRUCTURES AND 1 SCHOOL

FOR DIN-CAL CONSTRUCTION PROJECT NAME: STERLING CRIMSON

CITY & STATE TUSCALOOSA, AL

UNDER WHAT NAME DID YOU OPERATE?

PRICE CONSTRUCTION COMPANY

DATES: FROM MAY 2011 TO: SEPTEMBER 2011

TYPE OF DEMOLITION

CLEAN-UP, DEBRIS REMOVAL, AND STRUCTURE REMOVAL

FROM APRIL TORNADOS.

LIST THE PROPOSED NUMBER OF CREWS, PERSONS PER CREW, AND EQUIPMENT TO BE USED TO COMPLETE THE PROJECT:

NUMBER OF CREWS:

1 crew + Trucks

NUMBER OF PERSONS PER CREW:

6 personell + Trucks

EQUIPMENT TO BE USED:

Trackhoe, dozer, dump trucks, WATER WAGON, AND CREWTRUCK

LIST THE PROPOSED METHOD FOR THE WORK PROCESS, INCLUDING METHOD OF DEMOLITION AND ANY OTHER PERTINENT INFORMATION:

Clear hazards from structure

Wet DEMOLITION OF STRUCTURE

WET DEBRIS AFTER LOADS

TRUCK DEBRIS TO BLACK WARRIOR LANDFILL

PROVIDE NEEDED FILL MATERIAL

DRESS SITE

GRASS SITE

STATE OF ALABAMA

BID LIMIT: U AMOUNT: UNLIMITED LICENSE NO.: 15839 TYPE: RENEWAL



State Licensing Board for General Contractors

THIS IS TO CERTIFY THAT

PRICE CONSTRUCTION COMPANY INC

PETERSON, AL 35478

is hereby licensed a General Contractor in the State of Alabama and is authorized to perform the following type(s) of work:

D: DEMOLITION, HS: HIGHWAYS AND STREETS, MU: MUNICIPAL AND UTILITY

until May 31, 2012 when this Certificate expires.

Witness our hands and seal of the Board, dated Montgomery, Ala.,

1st day of May, 2011

SECRETARY-TREASURER

CHAIRMAN

70228

Bid Schedule

I. DEMOLITION / DEBRIS REMOVAL: price will include all materials, equipment, operators, laborers and any other associated costs for the actual demolition as well as materials, equipment, operators, laborers, tipping fees and all other associated costs for debris removal from demolition sites. All debris classifications must be carried to the appropriate final disposal locations that are approved by the Alabama Department of Environmental Management.

II. FILL Material will be paid by the cubic yard and price will be all inclusive of hauling, placing, and grading. Fill material will be placed as directed by the county representative. Fill must come from an ADEM permitted borrow site.

This bid estimates 20 cubic yards per structure.

ST Bunn Construction Co., Inc.

Structure ID #	Address	Description of Demo Structure		
00001	5035 Holt Peterson Rd	Modular Home	Demo / Debris Removal Price (lump sum per structure)	\$17,504.05

Structure ID #	Address	Description of Demo Structure		
00002	184 Crescent Ridge Rd	Yellow, single story house	Demo / Debris Removal Price (lump sum per structure)	\$20,318.84


Structure ID #	Address	Description of Demo Structure		
00003	641 71 st Street	Yellow, single story house, green tin roof	Demo / Debris Removal Price (lump sum per structure)	\$23,822.61

Structure ID #	Address	Description of Demo Structure		
00004	3917 Keene Dr	Two story brick house	Demo / Debris Removal Price (lump sum per structure)	\$28,893.78

Structure ID #	Address	Description of Demo Structure		
00005	707 Amy Circle	Single Story house w/ tan vinyl siding	Demo / Debris Removal Price (lump sum per structure)	\$19,411.11

Fill Material \$10.00 per c/y x 100 c/y = \$1000.00

Total \$109,950.39


President

LIST THE PROPOSED NUMBER OF CREWS, PERSONS PER CREW, AND EQUIPMENT TO BE USED TO COMPLETE THE PROJECT:

NUMBER OF CREWS:

One or two (as needed)

NUMBER OF PERSONS PER CREW:

Seven men (not counting dump trucks)

EQUIPMENT TO BE USED:

Trackhoe, Skid Steer, Water Truck, Pickup, Dump Trucks

LIST THE PROPOSED METHOD FOR THE WORK PROCESS, INCLUDING METHOD OF DEMOLITION AND ANY OTHER PERTINENT INFORMATION:

First we will abide by all safety guidelines established at Pre-Construction Meetings. We will verify that all utilities have been disconnected prior to beginning any work. We agree to use the equipment and crew referenced above to assess and remove all hazardous substances in the structures and haul to the appropriate disposal site. Water will be used to control potentially hazardous dust. We will abide by the Safety Plan submitted and we will also submit all Reports as outlined in Section 5.0 of this document. We will haul all debris to the Black Warrior Landfill and pay all required tipping fees. We will provide a Work Schedule to the owner and ensure that we stay on ~~the~~ schedule.

LIST AS REFERENCES THE NAMES AND LOCATIONS OF PLACES WHERE YOUR ORGANIZATION COMPLETED DEMOLITIONS, TOGETHER WITH DATES OF OPERATION, AND TYPE OF DEMOLITION PERFORMED

CITY & STATE Tuscaloosa, Alabama

UNDER WHAT NAME DID YOU OPERATE?

BRP, LLC. (ST Bunn was one of three members of firm)

DATES: FROM May, 2011 TO: October 2011

TYPE OF DEMOLITION

Debris removal associated with April 2011 tornado.

CITY & STATE Tuscaloosa, Alabama

UNDER WHAT NAME DID YOU OPERATE?

ST Bunn Construction Co., Inc.

DATES: FROM Feb, 2012 TO: Present

TYPE OF DEMOLITION

Asphalt, Curb, Curb & Gutter, and sidewalk.
"Restoration of Disaster Damaged Public Infrastructure"

CITY & STATE Tuscaloosa, Alabama

UNDER WHAT NAME DID YOU OPERATE?

ST Bunn Construction Co., Inc.

DATES: FROM 1979 TO: 1980

TYPE OF DEMOLITION

Demolition / Reconstruction of Bryant Drive
Near the Law School

WESTERN SURETY COMPANY • ONE OF AMERICA'S OLDEST BONDING COMPANIES



Western Surety Company

BID BOND

Bond No. _____

KNOW ALL PERSONS BY THESE PRESENTS, that we, _____

ST BUNN CONSTRUCTION CO., INC.

as Principal, hereinafter called the Principal, and WESTERN SURETY COMPANY, 950 Echo Lane, Suite 250, Houston, Texas 77024, as Surety, hereinafter called the Surety, are held and firmly bound unto

TUSCALOOSA COUNTY COMMISSION
Name, Address, City, State, Zip, Phone Number

_____, as Obligee, hereinafter called the Obligee, in the sum of

5 % of the amount of this bid not to exceed \$10,000.

Dollars (\$ _____), for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns firmly by these presents.

WHEREAS, the Principal has submitted a bid for DEMOLITION OF STRUCTURES

NOW, THEREFORE, If the contract be timely awarded to the Principal and the Principal shall within such time as specified in the bid, enter into a contract in writing or, in the event of the failure of the Principal to enter into such contract; if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

PROVIDED, HOWEVER; neither Principal nor Surety shall be bound hereunder less Obligee prior to execution of the final contract shall furnish evidence of financing in a manner and form acceptable to Principal and Surety that financing has been firmly committed to cover the entire cost of the project.

SIGNED, sealed and dated this 6 day of MARCH, 2012.

ST BUNN CONSTRUCTION CO., INC.
Pr

By [Signature]

Title PRES.

WESTERN SURETY COMPANY

By [Signature]
Attorney-in-Fact

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Robert W Poellnitz Jr, Walter Wayne Guy, Rick Manasco, Carrie Hickman, Robert Gerald Plott, W Geoffrey Plott, Individually

of Tuscaloosa, AL, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Senior Vice President and its corporate seal to be hereto affixed on this 18th day of July, 2011.



WESTERN SURETY COMPANY

Paul T. Bruflat
Paul T. Bruflat, Senior Vice President

State of South Dakota }
County of Minnehaha } ss

On this 18th day of July, 2011, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Senior Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires
November 30, 2012



D. Krell
D. Krell, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 6 day of MAR, 2012.



WESTERN SURETY COMPANY

L. Nelson
L. Nelson, Assistant Secretary

Resolution of Applicant for Matching Funds

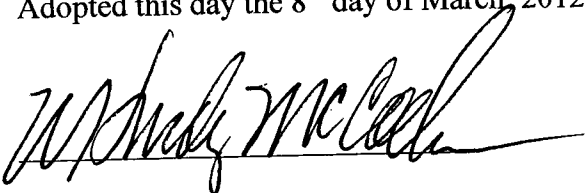
Whereas the State of Alabama, through the Alabama Department of Economic and Community Affairs, Law Enforcement/ Traffic Safety Division, under the Omnibus Crime Control and Safe Streets Act of 1968, (PL90-351) as amended and other appropriate Federal authorizations, is offering financial aid to combat rising crime, improve the criminal justice system, assist victims of crime and assist in the problems of juvenile justice; and

Whereas the Tuscaloosa County Commission, hereinafter referred to as the Applicant, is of the opinion that it would be beneficial to make application for such assistance; and

Whereas said Applicant agrees to be accountable for providing \$20,000 (25%) as cash match of the total cost of said project,

NOW, THEREFOR IT BE RESOLVED by the Applicant that, W. Hardy McCollum, in his official capacity as Commission Chairman, be authorized to make application to the Alabama Department of Economic and Community Affairs, Law Enforcement/Traffic Safety Division, for said financial assistance.

Adopted this day the 8th day of March, 2012.

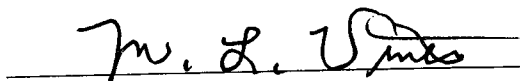


W. Hardy McCollum, Chairman

Tuscaloosa County Commission

I, Melvin L. Vines, County Administrator, in and for the Tuscaloosa County Commission, do hereby certify that the above is a true and correct copy of a resolution adopted at their regular meeting of Thursday, March 8th 2012, and the same appears in the minutes of said meeting.

Witness by hand and official seal of the Tuscaloosa County Commission on this the 8th day of March, 2012.



Melvin L. Vines, County Administrator

Tuscaloosa County Commission

State of Alabama
Department of Economic and Community Affairs
Law Enforcement/Traffic Safety Division

Equal Employment Opportunity Program Certification

I, W. Hardy McCollum, Chairman (Authorized Official), certify that the Applicant/Subgrantee

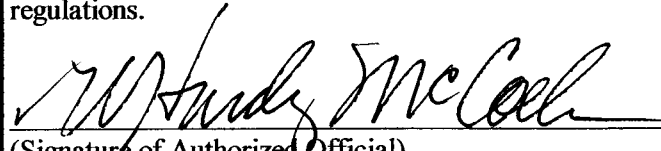
Name of Subgrantee: Tuscaloosa County Commission

has formulated an Equal Employment Opportunity Program in accordance with 28 CFR 42.301, et seq., subpart E, and that it is on file in the office of

Name Melvin L. Vines, County Administrator

Title County Administrator, Tuscaloosa County Commission

for review or audit by officials of ADECA or the Grant Agency as required by relevant laws and regulations.



(Signature of Authorized Official)

W. Hardy McCollum, Chairman
Tuscaloosa County Commission

March 8, 2012

(Date)

NOTE: If your organization is *required* to develop an EEOP plan, the *above* certification must be completed. If a plan is *not required*, then the *below* certification must be completed. The signed certification must be returned to ADECA Law Enforcement and Traffic Safety Division.

I, _____ (Authorized Official), certify that the Applicant/Subgrantee

Name of Subgrantee: _____

is not required to formulate an Equal Employment Opportunity Program in accordance with relevant laws and regulations.

(Signature of Authorized Official)

(Date)

State of Alabama
Department of Economic and Community Affairs
Law Enforcement/Traffic Safety Division

Financial Questionnaire

Section I. General Information

1. Subgrantee: Tuscaloosa County Commission Subgrant Number: _____
2. Financial Officer: Bill Lamb Telephone #: 205-349-3870
3. Contact Person: Leslie Abernathy Telephone #: 205-464-8313

The financial responsibility of subgrantees must be such that the subgrantee can properly discharge the public trust that accompanies the authority to expend public funds. Adequate accounting systems should meet the following criteria as outlined in the OJP guideline manual entitled *Financial and Administrative Guide for Grants* and the ADECA Law Enforcement and Traffic Safety manual entitled *Subgrantee Administrative Manual*.

- (1) Accounting records should provide information needed to adequately identify the receipt of funds under each subgrant awarded and the expenditure of funds for each subgrant, for each action program and for each subgrant awarded by the State.
- (2) Entries in accounting reports should refer to subsidiary records and/or documentation which support the entry and which can be readily located.
- (3) The accounting system should provide accurate and current financial reporting information.
- (4) The accounting system should be integrated with an adequate system of internal controls to safeguard the funds and assets covered, check the accuracy and reliability of accounting data, promote operational efficiency, and encourage adherence to prescribed management policies.

Section II. Accounting System

(Check the Appropriate Space)

1. Manual Automated Combination Which best describes the accounting system?
2. Yes No Does the organization use a double entry system in accounting for program funds?
3. Yes No Does the accounting system identify the receipt and expenditures of program funds separately for each subgrant?
4. Yes No Does the accounting system provide for the recording of expenditures for each subgrant by the component project and budget cost categories shown in the approved budget?
5. Yes No Are time distribution records maintained for an employee when his/her effort can be specifically identified to a particular cost objective?
6. Yes No Does the accounting/financial system include budgetary controls to preclude incurring obligations in excess of:
 - Yes No a. Total funds available for a subgrant?
 - Yes No b. Total funds available for a budget cost category(e.g., Personnel, Travel, Operating Expense, etc)
7. Yes No Is the organization generally familiar with the existing regulations and guidelines containing the cost principles and procedures for the determination and allowance of costs in connection with Federal contracts/grants/subgrants?

Financial Questionnaire

Subgrantee: _____
Subgrant Number: _____

Section III. Fund Control


1. Yes No Is a separate bank account maintained for subgrant funds?
2. Yes No If Federal subgrant funds are commingled with organization funds, can the Federal subgrant funds and related costs and expenses be readily identified?
3. Yes No Are the officials of the organization bonded?

Section IV. Additional Information

1. Yes No Did an independent certified public accountant (CPA) ever examine the financial statements?
2. Date of the last audit. 9-30-10
3. Dates covered by last audit. From 10-1-09 to 9-30-10
4. Date of next audit. 9-30-11
5. Dates to be covered by next audit. From 10-1-10 to 9-30-11
6. Use the following space for any additional information. Indicate the section and item numbers if this is a continuation.

Section V. Applicant Certification

I certify that the above information is complete and correct to the best of my knowledge.



Signature

March 08, 2012
Date

William M. Lamb, Chief Financial Officer
Tuscaloosa County Commission

Title

BURK-KLEINPETER, INC.

ENGINEERS, ARCHITECTS, PLANNERS, ENVIRONMENTAL SCIENTISTS

600 LURLEEN WALLACE BLVD, SUITE 180

TUSCALOOSA, ALABAMA 35401-9166

TELEPHONE (205) 759-3221 FAX (205) 759-9166

WWW.BKIUSA.COM



OVER 100 YEARS OF SERVICE

CHAIRMAN OF THE BOARD
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REGIONAL VICE PRESIDENT AL
O. JEFFREY WOOD, PE

VICE PRESIDENT
BRUCE L. HIGGINBOTHAM, PE

February 28, 2012

Department of Planning and Community Development
Tuscaloosa County Commission
2501 7th Street, Suite 300
Tuscaloosa, Alabama 35401

Attn: Mr. Farrington Snipes, Director

RE: Improvements at the Tuscaloosa County Annex (Tuscaloosa County Handicap Ramp)

Dear Mr. Snipes:

Attached are 3 copies of Application and Certification for Payment #1 ending 2/24/2012 submitted by Hall-Taylor Construction Co., Inc. for the above referenced project. We have reviewed the pay application which totals \$21,797.59 and agree with the total amount submitted.

Please find enclosed a change order request from Hall-Taylor Construction Company, Inc. for the amount of \$1,916.59. Burk-Kleinpeter, Inc. has reviewed the request and has determined that the change order reflects the work performed by Hall-Taylor Construction, Inc. BKI respectfully recommends payment for the attached change order. The change order amount has been included in the Application for Payment #1.

If you have any questions or need additional information, please give me a call at your convenience.

Sincerely,
BURK-KLEINPETER, INC.

A handwritten signature in black ink that reads "Wendy S. McBride". The signature is written in a cursive style.

Wendy S. McBride, P.E.

cc: File (TU.11.001)



BATON ROUGE • NEW ORLEANS • SHREVEPORT • TUSCALOOSA

BIRMINGHAM • MOBILE • HOUSTON • PASCAGOULA • GULFPORT

Hall-Taylor Construction Co., Inc

P: (205) 759-1438
F: (205) 345-0660

2156 McFarland Blvd East
Tuscaloosa, AL 35405

Curtis Hall
Roger Taylor

February 17, 2012


Mr. Bruce Higginbotham
Ms. Wendy McBride
Burk-Kleinpeter, Inc
600 Lurleen Wallace Blvd Suite 180
Tuscaloosa, AL 35401

RE: Additional Concrete Removal and Replacement/Rail Modification
Add loading zone stripes/Revised striping

Hall-Taylor Construction proposes the following change for work to be completed on the above mentioned project.

To remove and replace additional 6" concrete paving. To add 4' hand rail and modify rail at step. To revised quantity of striping and add stiping at loading zone

1. Demo & Replace additional concrete at sidewalk	465.00
2. Add 4'-0" sloped rail and modify step rail	270.00
3. Revised striping	<u>1,007.35</u>
Subtotal	1,742.35
Overhead & Profit	<u>174.24</u>
TOTAL	1,916.59

APPROVED BY
COUNTY COMMISSION
DATE March 08, 2012


APPLICATION AND CERTIFICATION FOR PAYMENT

AIA DOCUMENT G702

TO OWNER: Tuscaloosa County Commission
714 Greensboro Avenue
Tuscaloosa, AL 35401

FROM CONTRACTOR: Hall Taylor Construction Co., Inc.
5126 McFarland Blvd. East
Tuscaloosa, AL 35405

CONTRACT FOR: TUSCALOOSA COUNTY HANDICAP RAMP

APPLICATION NO: One (1)
PERIOD TO: 2/24/2012
PROJECT NOS: N/A
CONTRACT DATE: 1/25/2012

APPROVED BY
COUNTY COMMISSION
DATE 03 - 08 - 2012

[Signature]

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract Continuation Sheet, AIA Document G703, is attached.

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

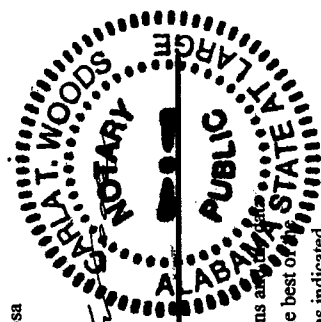
1. ORIGINAL CONTRACT SUM	\$19,881.00
2. Net change by Change Orders	\$1,916.59
3. CONTRACT SUM TO DATE (Line 1 + 2)	\$21,797.59
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703)	\$21,797.59

- 5. RETAINAGE:
 - a. 10% up to 50% Complete (Column D + E on G703) \$0.00
 - Materials Paid for by Owner N/A
 - Sales Tax Savings N/A

CONTRACTOR: Hall-Taylor Construction Company, Inc.

By: *[Signature]* Date: February 24, 2012

State of Alabama
Subscribed and sworn to before me this 24th day of February, 2012.
Notary Public: *[Signature]*
My Commission expires: 11-19-15



ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED.....\$ 21,797.59

Total Retainage and Sales Tax Savings	\$0.00
8. TOTAL EARNED LESS RETAINAGE/OWNER PAID MATERIAL/TAX CREDIT	\$21,797.59
9. LESS PREVIOUS CERTIFICATES FOR PAYMENT	\$0.00
10. CURRENT PAYMENT DUE	\$21,797.59
11. BALANCE TO FINISH, INCLUDING RETAINAGE	\$0.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT: Engineer
By: *[Signature]* Date: 2/28/12

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	\$0.00	\$0.00
Total approved this Month	\$1,916.59	\$0.00
TOTALS	\$1,916.59	\$0.00
NET CHANGES by Change Order		\$1,916.59

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

BURK-KLEINPETER, INC.

ENGINEERS, ARCHITECTS, PLANNERS, ENVIRONMENTAL SCIENTISTS

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REGIONAL VICE PRESIDENT - AL
O. JEFFREY WOOD, PE

VICE PRESIDENT
BRUCE L. HIGGINBOTHAM, PE



OVER 100 YEARS OF SERVICE

January 31, 2012

Mr. Farrington Snipes, Director
Planning and Community Development
Tuscaloosa County
2501 7th Street, Suite 300
Tuscaloosa, AL 35401

RE: Tuscaloosa County Handicap Ramp
Invoice #3
BKI Job No. Tu.11.001

For professional services rendered on the referenced project through the month of January 2012.

PAYMENT REQUEST NO.3

	<u>TOTAL</u> <u>AMOUNT</u>	<u>%</u> <u>COMPLETE</u>	<u>AMOUNT</u> <u>COMPLETE</u>	<u>CURRENT</u> <u>AMOUNT DUE</u>
DESIGN SERVICES	\$2,500.00	100%	\$ 2,500.00	\$ -
C, E & I SERVICES	\$2,000.00	100%	\$ 2,000.00	\$ 2,000.00
			\$4,500.00	
			PREVIOUSLY INVOICED: \$ (2,500.00)	
			AMOUNT DUE: \$ 2,000.00	

BKI Invoice # 50830
ks

SRCE H2 Run# Handicap
Vendor# 05868 PO# Ramp
Acct # 001-51100-523
Rec'd By _____ BK# 79053-H2
see to side
Approved For Payment

APPROVED BY
COUNTY COMMISSION
DATE 03 - 08 - 2012



**RESOLUTION AUTHORIZING THE CHAIRMAN
TO EXECUTE DOCUMENTS RELATED TO A BOND
ISSUE FOR THE GOVERNMENT UTILITY SERVICES
CORPORATION OF THE TOWN OF VANCE**

BE IT RESOLVED by the County Commission (the "County Commission") of Tuscaloosa County, Alabama ("Tuscaloosa County"), as follows:

Section 1. The County Commission, upon evidence duly submitted to and considered by it, hereby finds, determines and declares as follows:

(a) The Governmental Utility Services Corporation of the Town of Vance—Water Services (the "GUSC") has advised the County Commission of its intention to issue its revenue bonds in a principal amount not exceeding \$6,500,000 (the "Bonds") for the purpose of refinancing or financing, as applicable, the costs of acquiring, constructing and installing the following projects:

1. Refinancing. Refinancing approximately \$4,270,000 of revenue bonds of the GUSC heretofore issued to finance or refinance the acquisition, construction and installation of various capital improvements to the water supply and distribution system of the GUSC (the "System"), which system is located in Bibb and Tuscaloosa Counties.

2. New System Improvements. The new capital improvements to the System to be financed through the

issuance of the Bonds are expected to include (a) the replacement of approximately three miles of 8 inch polyvinyl water pipeline with 12 inch ductile iron pipe; (b) upgrading of the pump station at Cresstown to increase water flow to the Brookwood area; (c) the replacement of approximately 2.2 miles of 2½ inch and 3 inch polyvinyl main water pipe with 8 inch ductile iron water pipe, also to increase water flow to the Brookwood area; (d) a new pressure reducing station on Cresstown Road to add water pressure to the Abernant area; (e) a 6 inch ductile iron main water pipe near the area of Broadway Drive to serve new customers in that area; (f) a 6 inch ductile iron water pipe approximately 1.5 miles in length on Lake Retreat Road, to serve approximately 50 new customers and to open approximately 200 residential lots for development; and (g) approximately 3 miles of 6 inch ductile iron pipe in the Deer Chase area, to serve approximately 45 new customers as well as additional residential development in the area.

Water supplied by said facilities will be treated, transported and/or stored by the GUSC for the benefit of Citizens Water Service, Inc., an Alabama nonprofit corporation, whose headquarters is located at 16773 Highway 11 N., Vance, Alabama 35490;

(b) The GUSC has heretofore caused to be published in The Tuscaloosa News on February 23, 2012, a Notice of Public Hearing to the public announcing the holding by the GUSC of a public hearing at 6:00 o'clock, P.M., on Thursday, March 8, 2012, at the Town Hall in the Town of Vance, Alabama, for the purpose of receiving comments from interested persons on, among other things, the proposed approval by an applicable elected representative of Tuscaloosa County of the issuance of the Bonds by the GUSC;

(c) Tuscaloosa County is one of the governmental units in whose political jurisdiction a portion of the Bond-financed facilities have been and will be located;


(d) The Chairman of the County Commission is an applicable elected representative, as defined in Section 147(f) of the Internal Revenue Code of 1986, as amended, to approve the issuance of the Bonds for Tuscaloosa County;

(e) The County Commission understands that any interested person appearing at the aforesaid public hearing at the Town Hall in the Town of Vance will be given an opportunity to make comments on the proposed issuance of the Bonds; and

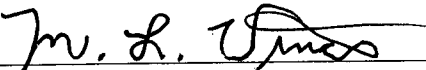
(f) There appears no reason why the issuance of the Bonds should not be approved on behalf of Tuscaloosa County.

Section 2. The County Commission hereby authorizes the Chairman of the County Commission, following his consideration of such comments as may be made at the aforesaid public hearing, to approve on behalf of Tuscaloosa County the issuance by the GUSC of the Bonds and the financing program to be accomplished thereby.

IN WITNESS WHEREOF, the Tuscaloosa County Commission has caused this Resolution to be executed in its name on its behalf by its Chairman on this the 8th day of March, 2012.


W. Hardy McCollum, Chairman
Tuscaloosa County Commission

ATTEST:


Melvin Vines, County Administrator

STATE OF ALABAMA §

TUSCALOOSA COUNTY §

I, the undersigned Chairman of the Tuscaloosa County Commission (the "County Commission"), upon evidence duly submitted to and considered by me, hereby find, determine and declare as follows:

(a) The Governmental Utility Services Corporation of the Town of Vance-Water Services (the "GUSC") has advised the County Commission of its intention to issue its revenue bonds in a principal amount not exceeding \$6,500,000 (the "Bonds") for the purpose of refinancing or financing, as applicable, the costs of acquiring, constructing and installing the following projects:

1. Refinancing. Refinancing approximately \$4,270,000 of revenue bonds of the GUSC heretofore issued to finance or refinance the acquisition, construction and installation of various capital improvements to the water supply and distribution system of the GUSC (the "System"), which system is located in Bibb and Tuscaloosa Counties.

2. New System Improvements. The new capital improvements to the System to be financed through the issuance of the Bonds are expected to include (a) the replacement of approximately three miles of 8 inch polyvinyl

water pipeline with 12 inch ductile iron pipe; (b) upgrading of the pump station at Cresstown to increase water flow to the Brookwood area; (c) the replacement of approximately 2.2 miles of 2½ inch and 3 inch polyvinyl main water pipe with 8 inch ductile iron water pipe, also to increase water flow to the Brookwood area; (d) a new pressure reducing station on Cresstown Road to add water pressure to the Abernant area; (e) a 6 inch ductile iron main water pipe near the area of Broadway Drive to serve new customers in that area; (f) a 6 inch ductile iron water pipe approximately 1.5 miles in length on Lake Retreat Road, to serve approximately 50 new customers and to open approximately 200 residential lots for development; and (g) approximately 3 miles of 6 inch ductile iron pipe in the Deer Chase area, to serve approximately 45 new customers as well as additional residential development in the area.

Water supplied by said facilities will be treated, transported and/or stored by the GUSC for the benefit of Citizens Water Service, Inc., an Alabama nonprofit corporation, whose headquarters is located at 16773 Highway 11 N., Vance, Alabama 35490;

(b) The GUSC has heretofore caused to be published in The Tuscaloosa News on February 23, 2012, a Notice of Public Hearing to the

public announcing the holding by the GUSC of a public hearing at 6:00 o'clock, P.M., on Thursday, March 8, 2012, at the Town Hall in the Town of Vance, Alabama, for the purpose of receiving comments from interested persons concerning the issuance of the Bonds;

(c) Tuscaloosa County is one of the governmental units in whose political jurisdiction a portion of the Bond-financed facilities have been and will be located;

(d) The undersigned is the applicable elected representative, as defined in Section 147(f) of the Internal Revenue Code of 1986, as amended, to approve the issuance of the Bonds for Tuscaloosa County and has been authorized to do so by the County Commission; the publication of the aforesaid Notice of Public Hearing constitutes reasonable public notice as required by said Section 147(f); the aforesaid public hearing at the Town Hall in the Town constitutes the public hearing required by said Section 147(f); and the approval of the issuance of the Bonds granted by the undersigned constitutes public approval by Tuscaloosa County within the meaning of said Section 147(f);

(e) As certified by the GUSC, any interested person appearing at the aforesaid public hearing at the Town Hall in the Town has been given an opportunity to make comments on the proposed issuance of the Bonds, and the undersigned has considered such comments as may have been made with respect thereto; and

(f) There appears no reason why the undersigned should not approve the issuance of the Bonds.

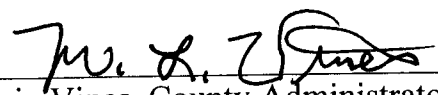
(g) The undersigned hereby approves the issuance by the GUSC of the Bonds and the financing program to be accomplished thereby.

WITNESS my signature, in my capacity as aforesaid, this 21st day of March, 2012.



W. Hardy McCollum, Chairman
Tuscaloosa County Commission

ATTEST:



Melvin Vines, County Administrator

CERTIFICATE REGARDING PUBLIC HEARING

TO: TOWN OF VANCE, ALABAMA
BIBB COUNTY, ALABAMA
TUSCALOOSA COUNTY, ALABAMA

I, the undersigned Chairman of The Governmental Utility Services Corporation of the Town of Vance--Water Services (the "GUSC"), hereby certify as follows:

(a) The GUSC intends to issue its revenue bonds in a principal amount not exceeding \$6,500,000 (the "Bonds") for the purpose of refinancing or financing, as applicable, the costs of acquiring, constructing and installing the following projects:

1. Refinancing. Refinancing approximately \$4,270,000 of revenue bonds of the GUSC heretofore issued to finance or refinance the acquisition, construction and installation of various capital improvements to the water supply and distribution system of the GUSC (the "System"), which system is located in Bibb and Tuscaloosa Counties.

2. New System Improvements. The new capital improvements to the System to be financed through the issuance of the Bonds are expected to include (a) the replacement of approximately three miles of 8 inch polyvinyl water pipeline with 12 inch ductile iron pipe; (b) upgrading of the pump station at Cresstown to increase water flow to the Brookwood area; (c) the replacement of approximately 2.2 miles of 2½ inch and 3 inch polyvinyl main water pipe with 8 inch ductile iron water pipe, also to increase water flow to the Brookwood area; (d) a new pressure reducing station on Cresstown Road to add water pressure to the Abernant area; (e) a 6 inch ductile iron main water pipe near the area of Broadway Drive to serve new customers in that area; (f) a 6 inch ductile iron water pipe approximately 1.5 miles in length on Lake Retreat Road, to serve approximately 50 new customers and to open approximately 200 residential lots for development; and (g) approximately 3 miles of 6 inch ductile iron pipe in the Deer Chase area, to serve approximately 45 new customers as well as additional residential development in the area.

Water supplied by said facilities will be treated, transported and/or stored by the GUSC for the benefit of Citizens Water Service, Inc., an Alabama nonprofit corporation, whose headquarters is located at 16773 Highway 11 N., Vance, Alabama 35490;

(b) The GUSC has heretofore caused to be published in The Tuscaloosa News on February 23, 2012, a Notice of Public Hearing to the public announcing the holding by the GUSC of a public hearing at 6:00 o'clock, P.M., on Thursday, March 8, 2012, at the Town Hall in the Town of Vance, Alabama, for the purpose of receiving comments from interested persons concerning the issuance of the Bonds;

(c) Each of the Town of Vance, Bibb County and Tuscaloosa County is one of the governmental units in whose political jurisdiction a portion of the Bond-financed facilities will be located and/or on behalf of which the Bonds are being issued;

(d) The publication of the aforesaid Notice of Public Hearing constitutes reasonable public notice as required by said Section 147(f); the aforesaid public hearing at the Town Hall in the Town of Vance constitutes the public hearing required by said Section 147(f); and the approval of the issuance of the Bonds, if granted by the respective applicable elected representative of each of the above-referenced governmental units, constitutes public approval by such governmental unit within the meaning of said Section 147(f); and

(e) Any interested person appearing at the aforesaid public hearing at the Town Hall in the Town of Vance has been given an opportunity to make comments on the proposed issuance of the Bonds, a summary of which is attached to this certificate as Exhibit A.

WITNESS my signature, in my capacity as aforesaid, this 8th day of March, 2012.

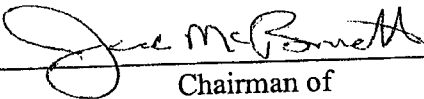

Chairman of
THE GOVERNMENTAL UTILITY SERVICES
CORPORATION OF THE TOWN OF
VANCE-WATER SERVICES

EXHIBIT A

The following persons attended the public hearing of The Governmental Utility Services Corporation of the Town of Vance—Water Services at Town Hall in Vance, Alabama, at 6:00 o'clock, P.M., on Thursday, March 8, 2012:

The Governmental Utility Services Corporation of
the Town of Vance—Water Services:

Jack McBurnett, Chairman
Harvey Wyatt, Vice Chairman
Debbie Nichols, Secretary-Treasurer

Town of Vance:

Keith Mahaffey, Mayor

Citizens Water Service, Inc.:

Bob Russo
Jeff Huguley
Steve McPherson
Luther Rice

Bond Counsel:

Mark Ezell
Haskell Slaughter Young & Rediker, LLC
Birmingham, Alabama

No other citizens attended the hearing, and after presentations by Mr. Rice and Mr. Ezell and questions and answers regarding the Project and its financing, the hearing was adjourned.

District 1
 FY - 2011-2012

Applicant	Project	Project cost	Cash	In kind	Funding requested	Approved	Spent
Northside Little League 90-5925.15	5 row bleachers and asphalt walking trail field extension to comply with new regulations	\$14,084	\$7,042.00	\$4,000	\$4,000	\$4,000.00	\$0.00
Northwood lake Homeowners Assoc	Tennis court upgrades and pavillion	\$65,900	\$ 32,950.00	\$32,950	\$32,950	\$0.00	\$0.00
TCHS	sprinkler system in 3 practice fields and french drain (track area)	\$22,000	\$0	\$12,000	\$10,000.00	\$10,000.00	
TCHS	fencing around baseball field for safety around draingage ditch	\$ 25,010.00		\$ 12,550.00	\$ 12,550.00	\$12,550.00	
Project Total		\$ 101,984.00	\$ 39,992.00	\$ 48,950.00	\$46,950.00	\$14,000.00	\$0.00

**DISTRICT 2
FY- 2011-2012**

Project Applicant	Proposed Project	Project cost	Cash	In kind	Funding requested	Approved	Spent
NETS(Abernant Ballfield)90.5925.27	Park upgrades, parking concession building bathroom renovation fencing batting cages	\$22,820.00	\$3,410.00	\$8,000.00	\$11,410.00	\$11,410.00	
Town of Coaling 90.5925.22	Handicap sidewalk to ball field	\$7,610.00	\$3,805.00		\$3,805.00	\$3,805.00	
Brookwood Park assoc 905925.26	multi-purpose field	\$70,000.00	\$20,000.00	\$15,000.00	\$35,000.00	\$35,000.00	
Vance Dixie Youth 905925.24	Fencing grading fields, clearing infrastructure for field lighting and irrigation	\$44,000.00	\$2,000.00	\$20,000.00	\$22,000.00	\$22,000.00	
*may be more cash available fund raising							
Cottondale Elementary 905925.23							
Project total		\$144,430.00	\$29,215.00	\$43,000.00	\$72,215.00	\$72,215.00	-

District 3
FY - 2011/2012

Applicant	Proposed Project	Project cost	Cash on Hand	In kind donation	Funding requested	Approved	spent
Taylorville Community Club* 90-5925.32	moving electrical sewer for new concession building, catch basin and drainging concession building donated by others	\$ 38,476.42	\$ 3,648.85	\$ 15,584.36	\$ 19,243.21	\$ 19,243.21	
Duncanville Middle School 905925.34	softball field backstop and fence	\$ 38,500.00	\$ 13,500.00	\$ 6,500.00	\$ 18,500.00	\$ 18,500.00	
Duncanville Little League 905925.30	add cobra head light, 6 ft roll up door replace picnic tables	\$ 20,000.00		\$ 10,000.00	\$ 10,000.00	\$ 10,000.00	
Hillcrest High Tennis Team 905925.35	pavillion and picnic tables	\$ 3,800.00	500	\$ 1,400.00	\$ 1,900.00	\$ 1,900.00	
Project Total		\$100,776.42	\$ 17,648.85	\$ 33,484.36	\$ 49,643.21	\$49,643.21	

DISTRICT 4
 FY - 2011-2012

Project Applicant	Proposed Project	Project cost	Cash on Hand	In kind dona	Funding req	Approved	spent
Friends of Historic Northport 905925.45	Masterplanning for Van de Graff Park fencing, signage, picnic tables	\$10,000.00	\$0.00	\$5,000.00	\$5,000.00	\$5,000.00	
Myrtlewood Elementary	playground equipment	\$19,966.15	\$9,983.08		\$9,983.07	\$9,983.07	
Sipsey Valley High School 90-5925.40	dugouts seating and lighting for softball and baseball fields	\$309,000.00	\$25,000.00	\$234,000.00	\$50,000.00	\$50,000.00	
total		\$338,966	\$34,983.08	\$239,000.00	\$64,983.07	\$64,983.07	



To: Don Wallace

From: Gary Minor

Subject: Request for assistance paving the entrance access to Sokol North

Date: March 2, 2012

Don, we need assistance in paving the entrance of Sokol North Park. This area was never paved when we developed the Sokol North side of the park. It is a highly used road, as we have several programs such as soccer, flag football, baseball and softball that must use this road to enter the park. In addition we also have the model airplane field users and the mountain bikers, hikers, and other casual users of the park that use this road for access to the park. It amounts to thousands of people a week using the road. The road is full of potholes and is an eyesore. Any help you can provide us would be greatly appreciated.



W. HARDY McCOLLUM
CHAIRMAN

MELVIN L. VINES
COUNTY ADMINISTRATOR

WILLIAM M. LAMB
CHIEF FINANCIAL OFFICER

MEMBERS
DON WALLACE
GARY YOUNGBLOOD
BOBBY MILLER
REGINALD MURRAY

COUNTY COMMISSION

TUSCALOOSA COUNTY ALABAMA
P.O. Box 20113 • 714 GREENSBORO AVENUE
TUSCALOOSA, ALABAMA 35402-0113
205-349-3870

September 26, 2011

Ms. Rhonda Pines Webb, Program Manager
Violence Against Women Programs
Alabama Department of Economic and Community Affairs
Law Enforcement/Traffic Safety Division
401 Adams Avenue, P. O. Box 5690
Montgomery, Alabama 36103-5690

Re: LEGIONS Grant, Grant Number 10-WF-LE-006, Tuscaloosa County

Dear Mr. Webb:

Regarding the referenced grant for Tuscaloosa County, please accept this letter as a formal request that ADECA agree to make the subject grant period retroactive to the dates of October 1, 2010 to September 30, 2011. As submitted with our application for funding assistance, please be advised that Tuscaloosa County will be serving as the lead agency for the subject project for the time period as noted herein. As to the time frame regarding the submission of the application for the grant from the County, there were on-going meetings being held between the County, the City of Tuscaloosa, and the City of Northport to discuss the FY2010 process, determination of which entity would serve as the lead agency and other matters involved with the program. These various activities resulted in the unavoidable delay in the submission of the grant proposal. Upon your review of the enclosed information, please contact me if you need any additional information or have any questions.

Yours truly,

A handwritten signature in black ink, appearing to read "W. Hardy McCollum", is written over the typed name and title.

W. Hardy McCollum, Chairman
Tuscaloosa County Commission

cc: Melvin Vines, County Administrator
Farrington Snipes, Grants Director

September 26, 2011

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W. Hardy McCollum, Chairman
Tuscaloosa County Commission

cc: Melvin Vines, County Administrator
Farrington Snipes, Grants Director

September 26, 2011

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Yours truly,

W. Hardy McCollum, Chairman
Tuscaloosa County Commission

cc: Melvin Vines, County Administrator
Farrington Snipes, Grants Director

August 29, 2011

Re: LEGIONS Grant Agreement

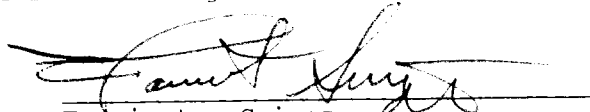
Melvin:

ADECA wants the previously signed forms by Bill resigned because the date has changed. Therefore, I have prepared the entire packet for execution and forwarding to Montgomery.

Please have the Judge and Bill execute where noted, place the County seal on executed pages, make a copy for my box and one for your office and forward the original in the attached envelope at your convenience.

I am working on a claim for review by DOT for funds owed us and will be going to meet with them when I finish. Also I will be at the HOLT COMMUNITY/FEMA meeting this evening at 6:30 P.M.

Thank you for helping out with the LEGIONS Project and have a nice day.


Farrington Snipes

**Alabama Department of Economic and Community Affairs
Law Enforcement/Traffic Safety Division**

**Violence Against Women Act
Subgrant Award**

The Department of Economic and Community Affairs hereby awards to

Tuscaloosa County Commission

(hereinafter called the 'Subgrantee') the amount of

\$60,000.00

for the period of

October 1, 2011 through June 30, 2012.

This subgrant may be used for the aforementioned period for the projects set forth in the application of the Subgrantee, subject to the General Conditions applicable to the administration of this subgrant. The Subgrantee shall administer the programs or projects for which this subgrant is awarded in accordance with the applicable rules, regulations and conditions of the Department of Economic and Community Affairs as set forth in the standard subgrant Conditions and Assurances of the Subgrantee Application, or as mandated by ADECA.

This subgrant is made available under Public Law 42 USC 3796. The federal funding agency is the U.S. Department of Justice. The award of funds is made under the title of Violence Against Women Act and is identified by the Catalog of Federal Domestic Assistance (CFDA) Number 16.588.

Subgrant Number **10-WF-LE-006**

Award Date August 22, 2011

By


Jim Byard, Jr.
Director

Acceptance of Award

Tuscaloosa County Commission

hereby signifies its acceptance of the above subgrant award on the terms and conditions set forth above or incorporated by reference therein. Likewise, additional special conditions attached to this subgrant award are herein incorporated.

Date August 26, 2011

By


Authorized Official

W. Hardy McCollum, Chairman
Tuscaloosa County Commission

Program: **Law Enforcement**

Project Title: **LEGIONS - Law Enforcement Giving Immediate Options and Needed Service**

Note: Funds cannot be advanced until Subgrantee signs and returns the original and a copy of this subgrant award to the Law Enforcement/Traffic Safety Division of ADECA.

Conditions to Subgrant Number: 10-WF-LE-006

1. Commencement Within 60 Days.

If a project is not operational within 60 days of the original starting date of the subgrant period, the Subgrantee must report by letter to LETS/ADECA the steps taken to initiate the project, the reasons for the delay, and the expected starting date.

2. Operational Within 90 Days.

If a project is not operational within 90 days of the original starting date of the subgrant period, the Subgrantee must submit a second statement to LETS/ADECA explaining the implementation delay. Upon receipt of the 90-day letter, LETS/ADECA may cancel the project and redistribute the funds to other project areas. LETS/ADECA may also, where extenuating circumstances warrant, extend the implementation date of the project past the 90-day period. When this occurs, the appropriate subgrant files and records must so note the extension.

3. Fidelity Bonding.

Subgrantees shall be required to carry fidelity bonds for individuals in positions of fiscal responsibility in the amount of \$100,000 or the highest cash advance, whichever is less. Failure to satisfy this condition shall prompt a request for a refund of subgrant funds.

4. Audit Exceptions/Unresolved Questioned Cost.

The Department shall not enter into a contract, agreement, grant, etc., with any individual, agency, company, governmental entity, etc., who has an unresolved audit exception or unresolved questioned costs or finding of fiscal inadequacy as a result of project monitoring. The Department shall not enter into a contract, agreement, grant, etc., with any individual, agency, company, governmental entity, etc., who owes money to any division of the Department or to the Federal Government under any program administered by any division of the Department that has not arranged a repayment schedule.

5. Continuation Funding.

Subgrantee understands that the awarding of this subgrant in no way assures or implies continuation of funding beyond the project duration indicated on the subgrant award document. Failure of the Subgrantee to submit the required financial and program narrative reports may result in a suspension of the subgrant payments and may also result in the suspension of processing continuation applications.

6. Equal Employment Opportunity Program Certification.

Prior to funding, the attached certification must be submitted to LETS/ADECA, certifying that either (1) a program has been formulated; or (2) a program is not required. Reference CFR Part 42.302(d).

7. Financial Questionnaire.

Prior to funding, the attached Financial Questionnaire and Request for Taxpayer Identification Number (if applicable) must be completed and returned to LETS/ADECA.

8. Recognition of Funding.

The Subgrantee shall include the following on all publications and releases, to include all written, audio or visual media: 'This project was supported by Subgrant # 10-WF-LE-006 awarded by the Law Enforcement/Traffic Safety Division of ADECA and the U.S. Department of Justice.'

9. Acquisition of Goods and Services

The Subgrantee agrees that the acquisition of goods and services in the aggregate value of \$500,000 or more shall not be made unless Subgrantee: (a) specifies in an announcement of the awarding of the contract for the procurement of the goods and services involved, the amount of Federal funds that will be used to finance the acquisition; and (b) expresses the amount announced pursuant to paragraph (a) as a percentage of the total cost of the planned acquisition.

10. Annual Performance Report.

Annual Performance Report. Subgrantee must include an assessment of impact of the activities carried out under the subgrant in the form of an annual Performance Report, utilizing forms provided (attached). Immediate action must be taken to collect the necessary data during the progress of the project. For all Subgrantees, other than the Victims Assistance Subgrantees, this annual report is due within 60 days following the ending date of the subgrant period. For Victims Assistance Subgrantees, the reporting date is October 15th for the project period October through September.

11. Confidential Expenditures.

Prior to the funding and expenditure of confidential funds, the Project Director shall sign a certification indicating that he/she has read, understands, and agrees to abide by all of the conditions pertaining to confidential funds as set forth in the effective edition of the guideline manual 7100.1, 'Financial and Administrative Guide for Grants.' A copy of Subgrantee written procedures for accountability and control of confidential expenditures will be submitted to LETS/ADECA for approval prior to such expenditure.

12. Subgrantee Administrative Manual.

Subgrantee is reminded that operating instructions contained in the LETS Subgrantee Administrative Manual are applicable to the operation of this subgrant project.

13. Audit Reports.

Pursuant to Act 94-414, please forward a copy of every audit report issued as a result of this Agreement where public funds are received and/or disbursed to: 1) Dept. of Examiners of Public Accounts, PO Box 302251, Montgomery, AL 36130-2251, ATTN: Audit Report Repository and to the ADECA Audit Section, PO Box 5690, Montgomery, AL 36130-5690, ATTN: Audit Manager.

14. Not To Constitute a Debt of the State.

It is agreed that the terms and commitments contained herein shall not be constituted as a debt of the State of Alabama in violation of Article 11, Section 213 of the Constitution of Alabama, 1901, as amended by Amendment No. 26. It is further agreed that if any provision of this Subgrant shall contravene any statute or Constitutional provision or amendment, either now in effect or which may, during the course of this Subgrant, be enacted, then that conflicting provision in the Subgrant shall be deemed null and void. The Contractor's sole remedy for the settlement of any and all disputes arising under the terms of this agreement shall be limited to the filing of a claim with the Board of Adjustment for the State of Alabama.

For any and all disputes arising under the terms of this contract, the parties hereto agree, in compliance with the recommendations of the Governor and Attorney General, when considering settlement of such disputes, to utilize appropriate forms of non-binding alternative dispute resolution including, but not limited to, mediation by and through the Attorney General's Office of Administrative hearings or where appropriate, private mediators.

Conditions to Subgrant Number: 10-WF-LE-006

15. Records Management:

Applicant gives assurances to maintain such data and information and submit such reports, in such form, at such times, and containing such information as the State/Federal grantor may require. The ADECA, Comptroller General of the United States, or any of their duly authorized representatives, shall have access for the purpose of audit and examinations to any books, documents, papers, and records of the subgrantee and to relevant books and records of subgrantee contractors, as provided in section 521 of P.L. 94-503. Records of the subgrantee and contractors includes books of original entry, source documents supporting accounting transactions, the general ledger, subsidiary ledgers, personnel and payroll records, canceled checks, and related documents. Records must be retained for a period of at least three (3) years from the date of submission of the Final Financial Report.

TO: ANY JUDGE

**RE: Grant No. 10-WF-LE-006
BINDING AGREEMENT**

Tuscaloosa County Commission

hereby specifically, intentionally, and unconditionally agrees and affirms that the terms and commitments contained in the documents pertaining to this grant/contract shall not be constituted as a debt of the State of Alabama in Violation of Article 11, Section 213 of the Constitution of Alabama, 1901, as amended. It is further agreed that if any provision of this grant/contract shall contravene any statute or constitutional provision or amendment, either now in effect or which may, during the course of this grant/contract, be enacted, then that conflicting provision in the grant/contract shall be deemed null and void. The grantee's/contractor's sole remedy for the settlement of any and all disputes arising under the terms of this agreement shall be limited to the filing of a claim with the Board of Adjustment for the State of Alabama.

For any and all disputes arising under the terms of this grant/contract, the parties hereto agree, in compliance with the recommendations of the Governor and the Attorney General, when considering settlement of such disputes, to utilize appropriate forms of non-binding alternative dispute resolution including, but not limited to, mediation by and through the Attorney General's Office of Administrative hearings or where appropriate, private mediators.



(signature)

W. Hardy McCollum
Authorized Official
Tuscaloosa County Commission

State of Alabama
Department of Economic and Community Affairs
Law Enforcement/Traffic Safety Division
Section I. General Information

1. Subgrantee **Tuscaloosa County Commission**

Subgrant Number **10-WF-LE-006**

2. Financial Officer **Bill Lamb**

Telephone Number **(205) 349-3870**

3. Contact Person Farrington Snipes

Telephone Number (205) 349-3870 Extension 301

The financial responsibility of subgrantees must be such that the subgrantee can properly discharge the public trust which accompanies the authority to expend public funds. Adequate accounting systems shall meet the following criteria as outlined in the guideline manual specific to the subgrant. The OJP guideline manual is entitled *Office of Justice Program's Financial Guide*; the NHTSA guideline manual is entitled *Highway Safety Grant Management Manual*; the USDOE guideline manual is entitled *Education Department General Administrative Regulations (EDGAR)*; and the HHS manual is entitled *HHS Grants Policy Statement*. All accounting systems must also meet the criteria set forth in the ADECA Law Enforcement and Traffic Safety Division's guideline manual entitled *Subgrantee Administrative Manual*.

- (1) Accounting records should provide information needed to adequately identify the receipt of funds under each subgrant awarded and the expenditure of funds for each subgrant, for each action program and for each subgrant awarded by the State.
- (2) Entries in accounting reports should refer to subsidiary records and/or documentation which support the entry and which can be readily located.
- (3) The accounting system should provide accurate and current financial reporting information.
- (4) The accounting system should be integrated with an adequate system of internal controls to safeguard the funds and assets covered, check the accuracy and reliability of accounting data, promote operational efficiency, and encourage adherence to prescribed management policies.

Section II. Accounting System

(check the appropriate space)

1. Manual Automated Combination Which best describes the accounting system?
2. Yes No Does the organization use a double-entry system in accounting for program funds?
3. Yes No Does the accounting system identify the receipt and expenditures of program funds separately for each subgrant?
4. Yes No Does the accounting system provide for the recording of expenditures for each Subgrant by the component project and budget cost categories shown in the approved budget?
5. Yes No Are time distribution records maintained for an employee when his/her effort can be specifically identified to a particular cost objective?
6. X Does the accounting/financial system include budgetary controls to preclude incurring obligations in excess of:
 - a. Yes No Total funds available for a subgrant?
 - b. Yes No Total funds available for a budget cost category (e.g., Personnel, Travel, Operating Expenses, etc.)?
7. Yes No Is the organization generally familiar with the existing regulations and guidelines containing the cost principles and procedures for the determination and allowance of costs in connection with Federal contracts/grants/subgrants?

Financial Questionnaire

Subgrantee **Tuscaloosa County Commission**
Subgrant Number **Bill Lamb**

Section III. Fund Control

1. Yes No Is a separate bank account maintained for subgrant funds?
2. Yes No If Federal subgrant funds are commingled with organization funds, can the Federal subgrant funds and related costs and expenses be readily identified?
3. Yes No Are the officials of the organization bonded?

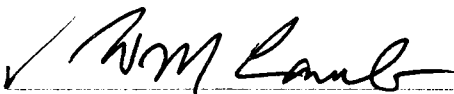
Section IV. Additional Information

1. Yes No Did an independent certified public accountant (CPA) ever examine the financial statements?
2. 09/30/2009 Date of last audit.
3. _____ Dates covered by last audit. October 1, 2008 to September 30, 2009
4. 09/30/2010 Date of next audit.
5. _____ Dates to be covered by next audit. October 1, 2009 to September 30, 2010
6. Use the following space for any additional information (indicate section and item numbers if a continuation).

NOTE: Audits are performed by the State Examiners of Public Accounts

Section V. Applicant Certification

I certify that the above information is complete and correct to the best of my knowledge.



August 26, 2011

Signature

Date

William M. Lamb

Chief Financial Officer, Tuscaloosa County

Title

State of Alabama
Department of Economic and Community Affairs
Law Enforcement/Traffic Safety Division

Equal Employment Opportunity Program Certification

I, W. Hardy McCollum, Chairman (Authorized Official), certify that the Applicant/Subgrantee

Tuscaloosa County Commission

has formulated an Equal Employment Opportunity Program in accordance with 28 CFR 42.301, et seq., subpart E, and that it is on file in the office of

Name Melvin Vines

Title County Administrator

for review or audit by officials of ADECA or the Grant Agency as required by relevant laws and regulations.


(Signature of Authorized Official)

August 26, 2011

(Date)

NOTE: If your organization is *required* to develop an EEOP plan, the *above* certification must be completed. If a plan is *not required*, then the *below* certification must be completed. The signed certification must be returned to ADECA Law Enforcement and Traffic Safety Division.

I, _____ (Authorized Official), certify that the Applicant/Subgrantee

Tuscaloosa County Commission

is not required to formulate an Equal Employment Opportunity Program in accordance with relevant laws and regulations.

(Signature of Authorized Official)

(Date)

Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) <p style="text-align: center;">Tuscaloosa County Commission</p>	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification (required): <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶	
	<input checked="" type="checkbox"/> Other (see instructions) ▶ <u>County Government, Tuscaloosa County, Alabama</u>	
	<input checked="" type="checkbox"/> Exempt payee	
Address (number, street, and apt. or suite no.) <p style="text-align: center;">714 Greensboro Avenue</p>		Requester's name and address (optional)
City, state, and ZIP code <p style="text-align: center;">Tuscaloosa, Alabama 35401</p>		
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)																																							
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3.																																							
Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td colspan="9" style="text-align: center;">Social security number</td></tr> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td colspan="9" style="text-align: center;">Employer identification number</td></tr> <tr><td style="width: 20px; height: 20px;">6</td><td style="width: 20px; height: 20px;">3</td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;">-</td><td style="width: 20px; height: 20px;">6</td><td style="width: 20px; height: 20px;">0</td><td style="width: 20px; height: 20px;">0</td><td style="width: 20px; height: 20px;">1</td><td style="width: 20px; height: 20px;">7</td><td style="width: 20px; height: 20px;">1</td><td style="width: 20px; height: 20px;">9</td></tr> </table>	Social security number																		Employer identification number									6	3		-	6	0	0	1	7	1	9
Social security number																																							
Employer identification number																																							
6	3		-	6	0	0	1	7	1	9																													

Part II Certification	
Under penalties of perjury, I certify that:	
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and	
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and	
3. I am a U.S. citizen or other U.S. person (defined below).	
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.	

Sign Here	Signature of U.S. person ▶	Date ▶ August 26, 2011
------------------	----------------------------	------------------------

General Instructions William M. Lamb, CFO

Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,
- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS a percentage of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see *Special rules for partnerships* on page 1.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account, for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name/disregarded entity name" line.

Partnership, C Corporation, or S Corporation. Enter the entity's name on the "Name" line and any business, trade, or "doing business as (DBA) name" on the "Business name/disregarded entity name" line.

Disregarded entity. Enter the owner's name on the "Name" line. The name of the entity entered on the "Name" line should never be a disregarded entity. The name on the "Name" line must be the name shown on the income tax return on which the income will be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a domestic owner, the domestic owner's name is required to be provided on the "Name" line. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on the "Business name/disregarded entity name" line. If the owner of the disregarded entity is a foreign person, you must complete an appropriate Form W-8.

Note. Check the appropriate box for the federal tax classification of the person whose name is entered on the "Name" line (Individual/sole proprietor, Partnership, C Corporation, S Corporation, Trust/estate).

Limited Liability Company (LLC). If the person identified on the "Name" line is an LLC, check the "Limited liability company" box only and enter the appropriate code for the tax classification in the space provided. If you are an LLC that is treated as a partnership for federal tax purposes, enter "P" for partnership. If you are an LLC that has filed a Form 8832 or a Form 2553 to be taxed as a corporation, enter "C" for C corporation or "S" for S corporation. If you are an LLC that is disregarded as an entity separate from its owner under Regulation section 301.7701-3 (except for employment and excise tax), do not check the LLC box unless the owner of the LLC (required to be identified on the "Name" line) is another LLC that is not disregarded for federal tax purposes. If the LLC is disregarded as an entity separate from its owner, enter the appropriate tax classification of the owner identified on the "Name" line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name/disregarded entity name" line.

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the "Business name/disregarded entity name," sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),

2. The United States or any of its agencies or instrumentalities,

3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,

4. A foreign government or any of its political subdivisions, agencies, or instrumentalities, or

5. An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

6. A corporation,

7. A foreign central bank of issue,

8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States,

9. A futures commission merchant registered with the Commodity Futures Trading Commission,

10. A real estate investment trust,

11. An entity registered at all times during the tax year under the Investment Company Act of 1940,

12. A common trust fund operated by a bank under section 584(a),

13. A financial institution,

14. A middleman known in the investment community as a nominee or custodian, or

15. A trust exempt from tax under section 664 or described in section 4947.

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 9
Broker transactions	Exempt payees 1 through 5 and 7 through 13. Also, C corporations.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 7 ²

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney, and payments for services paid by a federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, below, and items 4 and 5 on page 4 indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on the "Name" line must sign. Exempt payees, see *Exempt Payee* on page 3.

Signature requirements. Complete the certification as indicated in items 1 through 3, below, and items 4 and 5 on page 4.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulation section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity ¹
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulation section 1.671-4(b)(2)(i)(B))	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or "DBA" name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

* List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 1.

*Note. Grantor also must provide a Form W-9 to trustee of trust.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.